

COURSE NOTES

MATERIALS' DEFECTS & DISORDERS

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Defects & Disorders in Mat. 4/1/2024.

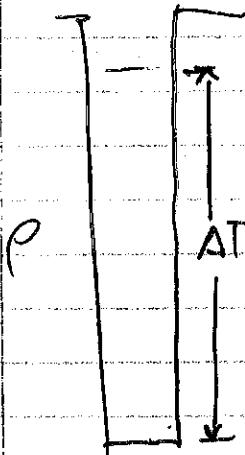
- point defects ~ new except.

vacancies → color of salt changes

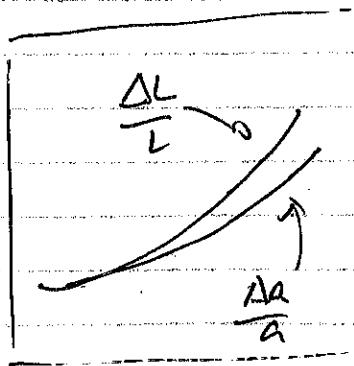
↳ thermoluminescence.

alkali halides.

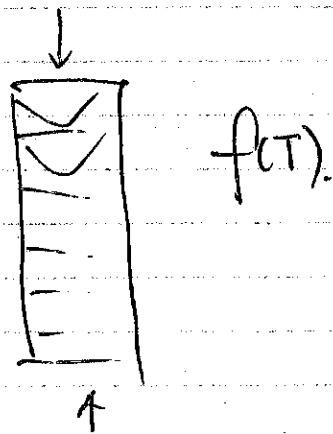
density measurement.



density gradient



Experiment measuring all
point defect.



↳ vacancy being created
in crystals

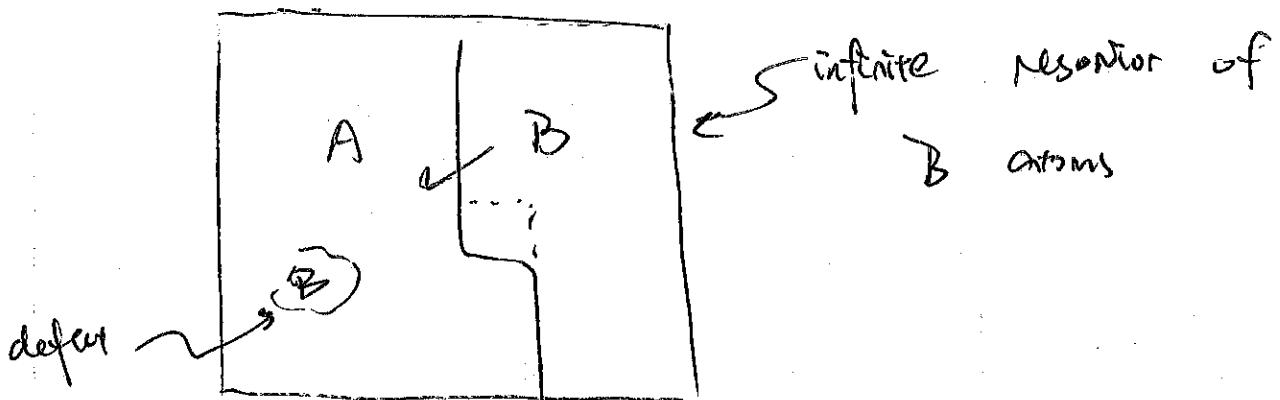
Defects in metals & ionic materials

↑
charged state.

equilibrium defects. → point defects.

Chap. 5 (Cont.).

Equilibrium conc. of solutes



Fixed N_A, N_B atoms,

$$x_B = \frac{N_B}{N}, \quad x_A = \frac{N_A}{N}$$

total # of atoms

change of free energy:

$$\Delta G = \Delta H - T\Delta S.$$

↓ ↓
enthalpy entropy

$$H = E + PV \quad \text{external pressure}$$

$$\Delta H = \Delta E + P_{ext} \Delta V$$

S work done against
 change in external pressure,
 internal energy:

bond breaking, etc.

$$\Delta E = \chi_B N \Delta f \rightarrow \text{formation energy of defect}$$

~ how many B atoms go into

the A area

$$\Delta f = \Delta f_{\text{bond}} + \Delta f_{\text{strain}}$$

different chemed
bonds

elastic
strain energy

Δf_{bond}

coordination number

pulling A out

$$(2 \sum_{AB}^J + \frac{2}{2} \sum_{AB}^I + \frac{2}{2} \sum_{AA}^I) - (2 \sum_{AA}^J + \frac{2}{2} \sum_{BB}^I + \frac{2}{2} \sum_{BB}^I)$$

↑ forming the bond

breaking the bond

$$= Z \left(\epsilon_{AB} - \frac{\epsilon_{AA} + \epsilon_{BB}}{2} \right)$$

local vol mismatch

$$\Delta \epsilon_{\text{strain}} = \mu (\Delta v) \quad \text{Shear}$$

$$2\pi r^3 \left(1 + \frac{4\mu}{3B} \right)$$

↓

Atomic
Radius

Bubble

Pert. Δv

$$\Delta V = \chi_B N \Delta V_f$$

Δ formation

$$\begin{cases} \Omega_A & \text{at vol of A} \\ \Omega_B & \text{at vol of B} \end{cases}$$

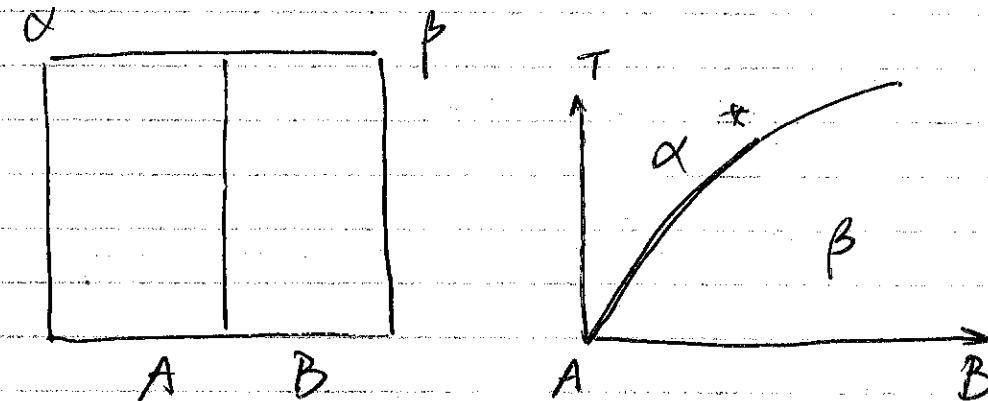
$$\begin{cases} \Omega_A^* & \text{at vol of A in B} \\ \Omega_B^* & \text{at vol of B in A} \end{cases}$$

$$\Delta V_f = \Omega_B^* - \Omega_B$$

$\underbrace{}$
formation volume

lecture 2 4/3/2024

eqn. concn. of solutes.



N_A

N_B

$$x_B = \frac{N_B}{N}$$

$$N = N_A + N_B$$

$$\Delta G = \Delta H - T\Delta S$$

$$\Delta E + P_{ext} \Delta V$$

$$\Delta E_{bond} + \Delta E_{strain}$$

$$\Delta S = \Delta S_{config.} + \Delta S_{vibration}$$

$$\Delta S_{config.} = -Nk_B [x_B \ln x_B + (1-x_B) \ln (1-x_B)]$$

Positive number

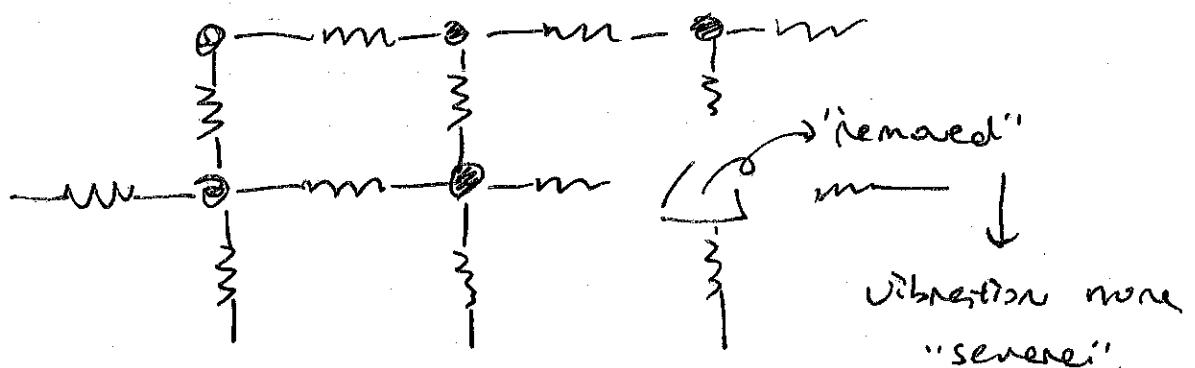
$$\Delta S_{\text{sub}} = \chi_B N \Delta S_f$$

formation entropy.

ΔV : formation volume

$\Delta E_{\text{bond}} + \Delta E_{\text{strain}}$: formation energy.

in 2D, "harmonic oscillator".



the vibrational features for
the surrounding atoms are different
around the subs. atom.

$$\Delta G = \chi_B N (\Delta \epsilon_f + P_{\text{ext}} \Delta V_f - T \Delta S_f).$$

enthalpic contribution

$$+ N k_B T (\chi_B \ln \chi_B + (1 - \chi_B) \ln (1 - \chi_B))$$

entropic contribution

ΔG \rightarrow free energy change for having N_B atoms into A.

$$= N_B (\Delta e_f + P_{ext} \Delta V_f - T \Delta S_f).$$

$$+ k_B T \left[N_B \ln \left(\frac{N_B}{N_A + N_B} \right) + N_A \ln \left(\frac{N_A}{N_A + N_B} \right) \right]$$

find minimum.

$$\frac{\partial \Delta G}{\partial N_B} = 0 = (\Delta e_f + P_{ext} \Delta V_f - T \Delta S_f) \\ + k_B T \ln(x_B) = 0$$

$$x_B = \exp \left(\frac{-(\Delta e_f + P_{ext} \Delta V_f - T \Delta S_f)}{k_B T} \right)$$

\sim Gi & Ni, Ch. 5

Let 'B' be a vacancy.

$$x_v = \exp \left(\frac{\Delta S_v}{k_B} \right) \exp \left(\frac{-(\Delta e_v + P_{ext} \Delta V_v)}{k_B T} \right)$$

Δe_v = formation energy of vacancy.

$$\begin{array}{c} \cancel{x} \\ \cancel{1} \\ \cancel{x} \end{array} - 0 - \cancel{N_A m} - \quad 1.6 \times 10^{-19} J = 1 eV$$

[eV] \hookrightarrow Vacancy energy.

Surface energy $\rightarrow \gamma = 1 \text{ J/m}^2$

$$1 \text{ eV} \approx 6 \text{ a}^2 \delta$$

0.3 nm
1 J/m²

ΔV_f = formation volume of vacancy.

$$\Delta V_f = \Omega_B^* - \Omega_B$$

$$\approx 0.5 - 0.7 \Omega_A$$

ΔS_f = formation entropy.

$$\approx 2-8 \text{ K}_B$$

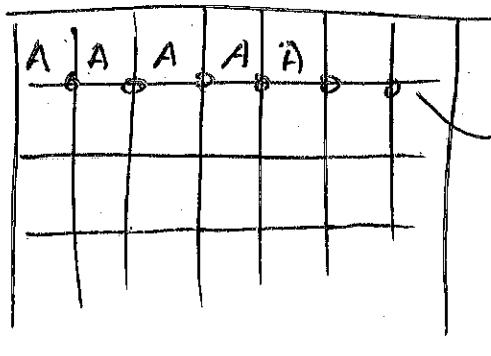
$P_{ext} \leftarrow 1 \text{ atm}$ $T \leftarrow \text{room temperature}$

$$\chi_v(T=300\text{K}) = 10^{-16}$$

$\times \rightarrow$ Vacancies calculation
 10^{22} atom / cm³

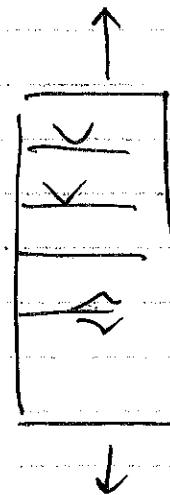
Integrations

$$N_i = \frac{1}{1 + \exp \left[\Delta \epsilon_f + P_{ext} \Delta V_f - T \Delta S_f \right]} \frac{1}{k_B T}$$



$\Delta \epsilon_f$
vacancy energy

T_{FM} down



- volume will increase

- lattice constant decrease

↑ because the

surrounding crystals will

"collapse".

at a given temp.

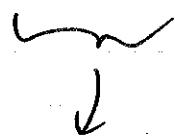
$$\frac{\Delta f}{f} = 3 \left(\frac{\Delta L}{L} \right) = \chi_v + \beta \chi_v$$

negative
"shrinkage"



dilatometer to measure addition of atoms
to surface

$$3 \left(\frac{\Delta a}{a} \right) = \beta \chi_v$$



$$\chi_v = 3 \left(\frac{\Delta L}{L} \right) - 3 \left(\frac{\Delta a}{a} \right)$$

X-ray diffraction

↳ abs. value of vacancy

what's a position?

↓
does force in more deflected molecule

→ Review.

► Equilibrium concentration of solutes

$$\text{minimize} \rightarrow \Delta G = \Delta H - T \Delta S$$

$$\Delta H = \Delta E + \text{Parr } \Delta V$$

$$\Delta E = \gamma N \Delta e_f$$

$$\Delta e_f = \Delta e_f^{\text{bond}} + \Delta e_f^{\text{strain}}$$

$$\Delta e_f^{\text{bond}} = \frac{1}{2} \sum \epsilon_i$$

"Interaction energy"

$$\Delta e_f^{\text{strain}} = \frac{n(\Delta V)^2}{2\pi r_0^3 \left(1 + \frac{4n}{3B}\right)}$$

$$\Delta V = \gamma N \Delta V_f$$

$$\hookrightarrow \Delta V_f = \Omega_B^* - \Omega_B$$

$$\Delta S = \Delta S_{\text{config}} + \Delta S_{\text{vib}}$$

$$\Delta S_{\text{config}} = -Nk_B [x \ln x + (1-x) \ln(1-x)]$$

$$\rightarrow \Delta S_{\text{vib}} = N_b \Delta S_f = x N \Delta S_f$$

$$x = \exp\left(-\frac{\Delta g_f}{k_B T}\right)$$

$\Delta g_f = \Delta h_f - T \Delta S_f$

$+ P_{\text{ext}} \Delta V_f$

final derived form:

Equilibrium concentration of vacancy

$$\Delta G = N_v (\Delta e_v + P_{\text{ext}} \Delta V_v - T \Delta S_v)$$

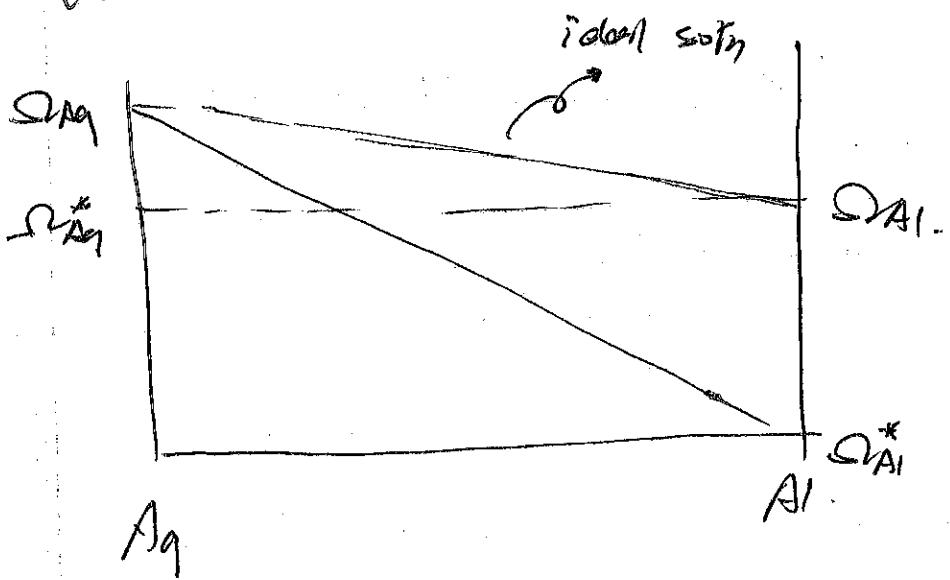
$$+ k_B T \left[N_v \ln \frac{N_v}{N_A + N_v} + N_A \ln \frac{N_A}{N_A + N_v} \right]$$

\rightarrow Solved equilibrium vacancy fraction.

$$x_v = \frac{N_v}{N_A + N_v} = \exp\left(-\frac{\Delta g_v}{k_B T}\right)$$

$$= \exp\left(\frac{\Delta S_v}{k_B}\right) \exp\left(-\frac{\Delta e_v + P_{\text{ext}} \Delta V_v}{k_B T}\right)$$

Lecture 3.



Increase pressure, will solubility change?

$$\chi_B \propto \exp\left(-\frac{P_{ext} \Delta V}{k_B T}\right)$$

$$\Delta\Delta G_{Al} - \Delta\Delta G_{Ag} \rightarrow \text{negative}$$

Solubility increase

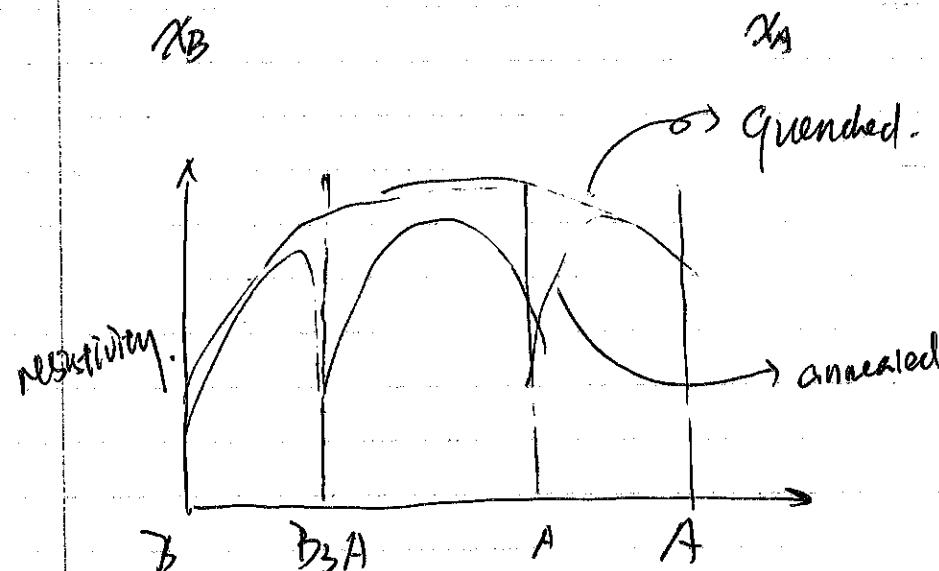
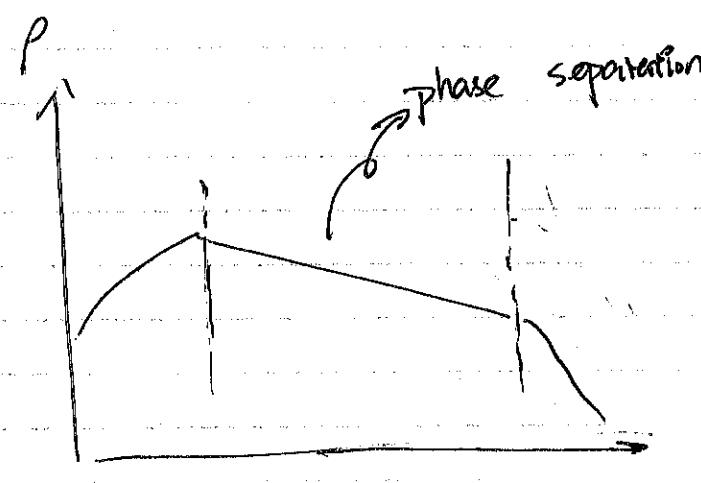
Vacancies \rightarrow less vacancy

\rightarrow i.e., pressure \uparrow vacancy \downarrow

$$P_{ext} \quad 10^5 \text{ N/m}^2 \times 10^{-30} \text{ m}^3$$

$$= 10^{-25} \text{ J} \approx 10^{-6} \text{ eV}$$

eV \rightarrow bond breaking



→ intermetallics.

$$\epsilon_{AB} = \left(\frac{\epsilon_{AA} + \epsilon_{BB}}{2} \right) = 0$$

if ≥ 0 , no preference between A/B

↓

non-interacting soln.

↓

ideal soln.

$$N_{2V} = \exp\left(-\frac{\Delta G_V}{k_B T}\right) \exp\left(-\frac{\Delta S_{2V}}{k}\right) \exp\left(-\frac{-2\Delta E_V}{kT}\right)$$

Discrepancy.

$$N_{2V} = \exp\left(-\frac{\Delta G_V}{k_B T}\right)$$

$$N_{2V} = \exp\left(-\frac{\Delta G_{2V}}{kT}\right).$$

$$N_{2V} = \frac{N_{2V}}{N_{sites}^{sites}}$$

$$N_{sites}^{sites} = N^2/2$$

of sites of crystal

$$N_{2V} = N^2/2 \exp\left(\frac{\Delta S_{2V}}{k}\right) \exp\left(-\frac{\Delta E_{2V}}{kT}\right) \exp\left(-\frac{-2\Delta E_{2V}}{kT}\right)$$

ΔE []

$$\Delta E_{2V} = 2\Delta E_V$$

Monovacancy

$$\Delta S_{2V} = 2\Delta S_V \rightarrow \text{negative number}$$

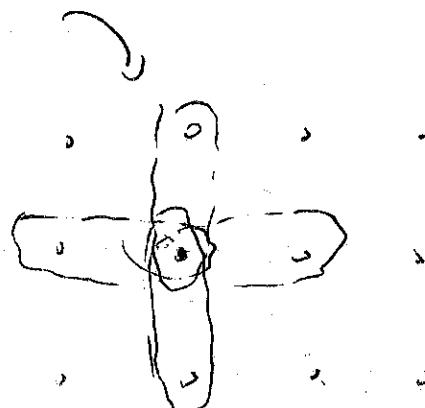
$$\Delta E_{2V} = 2\Delta E_V + \Delta E_{bind}$$

$$N_{2V} = \frac{N^2}{2} \exp\left(\frac{2\Delta S_V}{k}\right) \exp\left(\frac{-(2\Delta E_V + \Delta E_{bind})}{kT}\right)$$

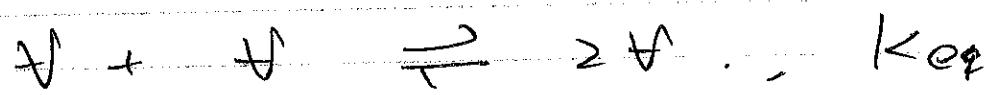
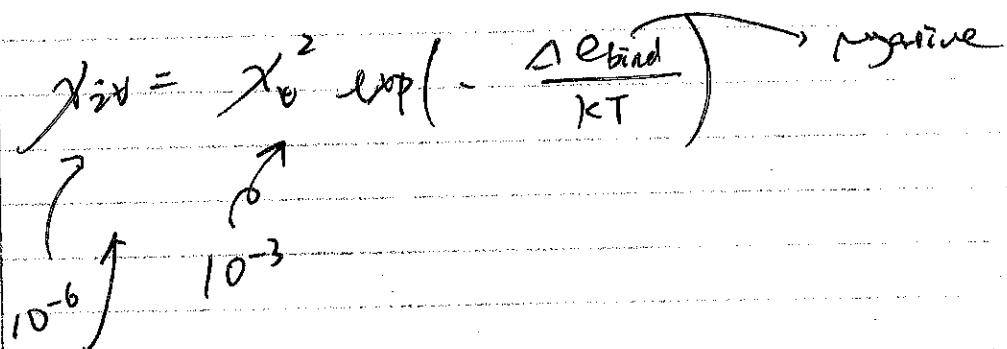
$$\exp\left(-\frac{-2P_{out} \Delta F}{kT}\right)$$

coord. #

$N_{sites} \approx (4)$



$$n_{2V} = \frac{N_0}{2} \chi_V \exp\left(-\frac{\Delta_{\text{bind}}}{kT}\right)$$



chem. : $\frac{[2V]}{TV^2} = K_{\text{eq}} = \text{const.}$
 molarily : $[2V] = [V]^2 \times K_{\text{eq}}$

$$[2V] = [V]^2 \times K_{\text{eq}}$$

$$G = U + PV - TS.$$

$$dG = dU + PdV + VdP - TdS - SdT.$$

(

$$dU = TdS - PdV. \quad n \text{ moles of ideal gas.}$$

const T .

$$dG = VdP - SdT.$$

$$dG = VdP$$

$$(\text{ideal gas}) \quad PV = nRT.$$

$$dG = nRT \frac{dP}{P}.$$

Integrate both sides:

$$\int_{G_0}^G dG = \int_{P_0}^P nRT \frac{dP}{P}$$

$$G - G_0 = nRT \left(\frac{P}{P_0} \right)$$

from source state to current state

$$\mu = \mu_0 + RT \left(\frac{P}{P_0} \right) \quad \leftarrow \frac{dG}{dn}$$

↑
Standard state
change no. of moles.

if $P_0 = 1 \text{ atm.}$

$$\mu = \mu_0 + RT \ln \left(\frac{P}{P_0=1 \text{ atm}} \right) = \mu_0 + RT \ln(a).$$

↑
activity

Lecture 4 4/10/2024

Recap: $\mu = \mu_0 + RT \ln\left(\frac{P}{P_0}\right)$

$\mu_0 + RT \ln(a)$ $\xrightarrow{\text{atm.}}$

"Standard state".

↳ leads to defect reactions derivations



$$\Delta G = \sum \mu_i + d\mu_d - (a\mu_a + b\mu_b)$$

$$\Delta G = \Delta G^\circ + RT \ln \left[\frac{a^c c^d}{a^a b^b} \right] = 0$$

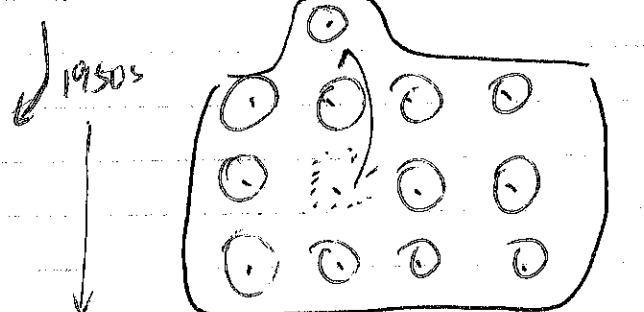
equilibrium const.

$$\Delta G^\circ = -RT \ln k$$

defects

$$k = \exp\left(\frac{\Delta S^\circ}{R}\right) \cdot \exp\left(-\frac{\Delta H^\circ}{RT}\right)$$

Vacancy formation



apply for defects

Let the activity of defects in crystals

be "molar site fraction".

$$K = \frac{a_V a_A}{a_A} \xrightarrow{\text{defects}} \text{between } 0 \text{ and } 1$$

Equilibrium constant.

$$\Rightarrow = \frac{[V_A] \cdot [A_A]}{[A] \cdot [A]} = \frac{[V_A]}{[A]} = K$$

$$[V_A] = \exp\left(\frac{\Delta S^\circ}{R}\right) \exp\left(-\frac{\Delta H}{RT}\right)$$

formation entropy

$E_F \rho V$.

formation enthalpy.

Combining notation A^c_s .

Krogh - Vink notation.

Main symbol A^c \leftarrow charge

$\hookrightarrow A^c$

$s \leftarrow$ site, chemical symbol

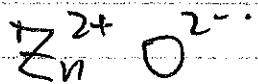
of normal occupancy of site

vacancy.



Effective charge = Real charge of the isolated defects.

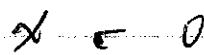
- Real charge of the sites in a perfect crystal.



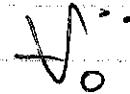
fully ionic.



$$0 - (-2)$$



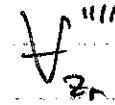
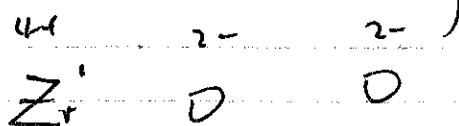
← negative



• ← positive

(vacancy).

Zr non-vacancy



$$-(+4) = -4$$

(lithium ion interstitial)



electrons

e' free elec.

free holes. h \rightarrow not giving us any sites

Y in ZrO_2

Y_2O_3 \nearrow substitute Zr

Y'_{Zr} $\boxed{+3} - \boxed{+4}$

P in Si ... TBD

Al. Si P }

P'_{Si}

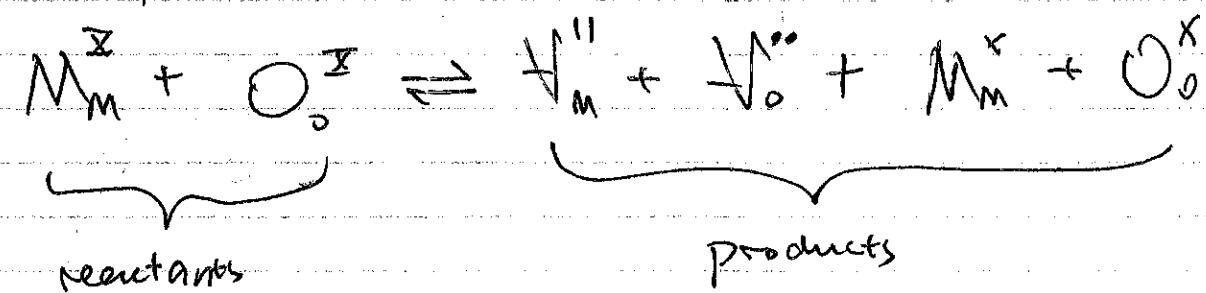
A covalency makes this confusing

• Frenkel reaction

• Schottky reaction

~ 1930s

→ Schottky defect. MO.



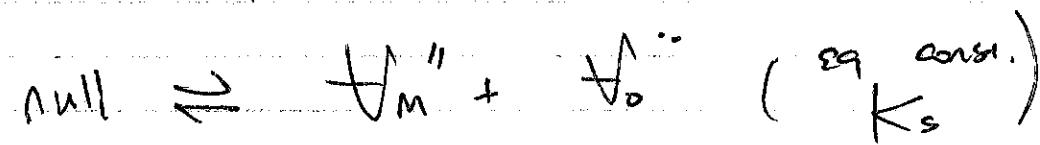
1. Mass balance

2. Site balance

3. Charge neutrality

only for crystal?

Equation simplified to:



$$K_s = a_{V_o} \cdots a_{V_m''}$$

$$K_s = \frac{[V_o'']}{[O]} \cdot \frac{[V_m'']}{[M]}$$

$$K_s = [V_o''][V_m'']$$

↓
①

$$2 \times [\text{H}_\circ^{\cdot\cdot}] = 2 \times [\text{H}_M^{\cdot\cdot}] \rightarrow \textcircled{2}$$

positive charges.

negative
charges

$$[\text{H}_\circ^{\cdot\cdot}] = [\text{H}_M^{\cdot\cdot}] = \sqrt{K_s}$$

\hookrightarrow equilibrium
constant.

\Downarrow
is temperature-dependent.

$$= \exp \left(- \frac{\Delta G_s^\circ}{2RT} \right)$$

\downarrow

Came from the square.

e⁻

$$\text{null} \rightleftharpoons e^{\cdot} + h^{\cdot}$$

$$\overline{h^{\cdot}} \quad 1 \times [e^{\cdot}] = [h^{\cdot}]$$

$$n \quad P \rightarrow nP = \text{const.}$$

$$[e^{\cdot}] = [h^{\cdot}]$$

$$= \exp \left(- \frac{\Delta h^\circ}{2KT} \right)$$

$$[e^{\cdot}] \cdot [h^{\cdot}] = K$$

$$[e^{\cdot}] = [h^{\cdot}] = \sqrt{K}$$

"chemical reaction"

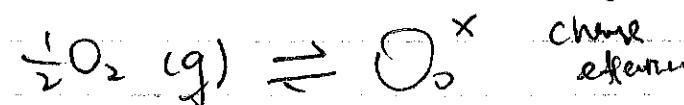
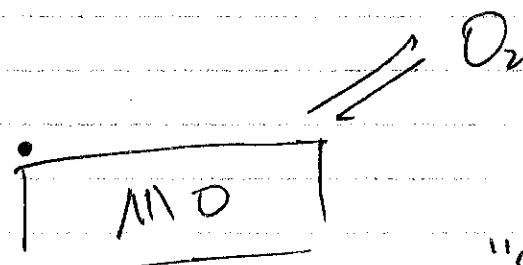
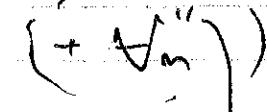
$$\frac{N_P}{N_c N_v} = \exp \left(-\frac{E_g}{kT} \right)$$

effective density of states

holes.



from site
balance



charge
eference

"Crystal talkin' to atmosphere"

mass balance ✓

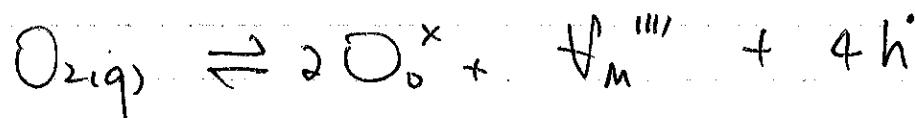
charge eqn. ✓

$$K = \frac{[h]^2 [V_m]}{[M]} \cdot \frac{[O_o^x]}{[O]} P_{O_2}^{1/2}$$

P_{O_2} experimentally set

partial pressure

$$[V_m] = 1 \times [h]$$



def'n of chemical potentials.

$$\mu_i = \frac{\partial G}{\partial n_i} \Big|_{T, P, n_j \neq i}$$

cannot do experiments
in metals

Questions:

$$dG = dU - TdS + PdT \dots + \mu dn_i$$

(\rightarrow Fermi's level effect.)

variables that control the free energy

can change the point defect formation energy.

P_s^* \rightarrow Are all covalent bond ...?

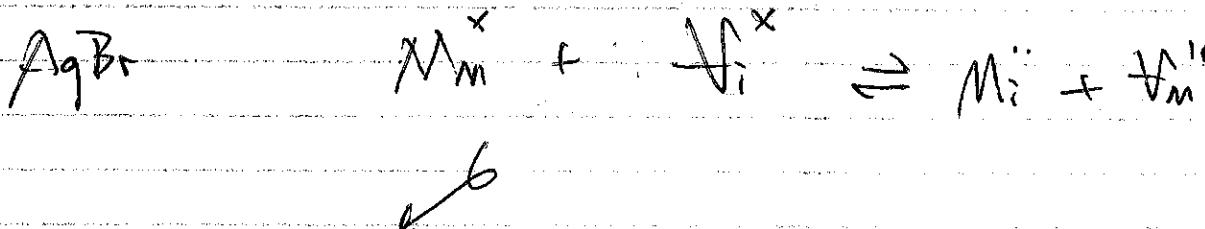
∇ some equilibrium const.

$\hookrightarrow P_s^* + e^-$ an anti-dope

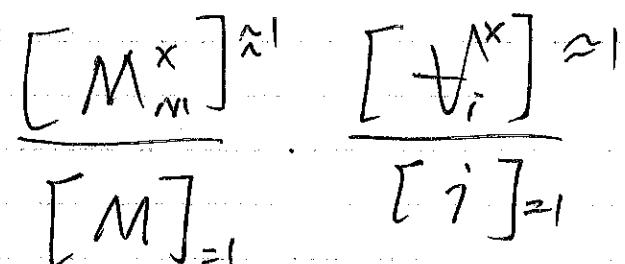
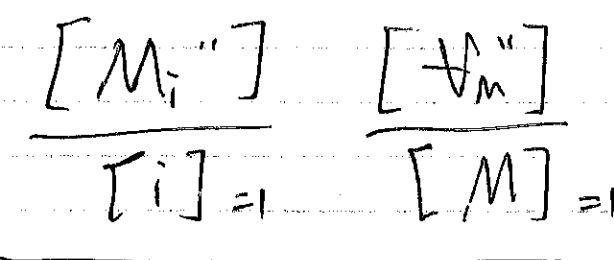
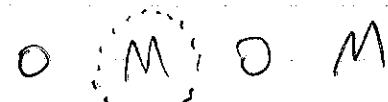
Q3: theory only for ionic bond?

4/15/2024 lecture 5

Frenkel Defect. in $M^x O^y$



$$K_F = \frac{c_{M_i^{\prime\prime}} c_{V_m^{\prime\prime}}}{c_{M_m^x} c_{V_i^x}}$$



$$K_F = [M_i^{\prime\prime}] [V_m^{\prime\prime}] \quad \textcircled{1} \quad \}$$

$$2[M_i^{\prime\prime}] = 2[V_m^{\prime\prime}] \quad \textcircled{2}$$

D4: why? do not agree w/ there
in books
Frenkel defects come in Pairs

$\rightarrow \text{ZnO}$ example

reduce O partial pressure

O₂ goes to ambient.

... create O vacancy, create electron

Q:

accelerate electron diffusion

- (\hookrightarrow) metals with defect diffusion
metals w/ many defects,

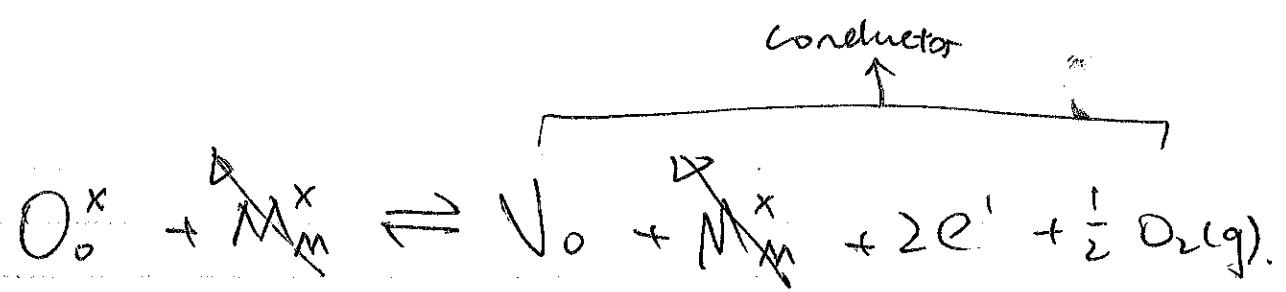
Electrolyte oxygen: oxygen effluent.

hydrogen mole ion⁺ can be used for diffusion,

- \hookrightarrow lithium ones do not use point defect
 - (\hookrightarrow) battery: amorphous.

\rightarrow Oxygen deficient oxide

(think TCO, fuel cell electrolyte)



Oxygen evolution process.

$$K_{V_2O_5} = \frac{[V_2O_5]^{1/2} P_{O_2}^{1/2}}{[O_2^x \approx 1]}$$

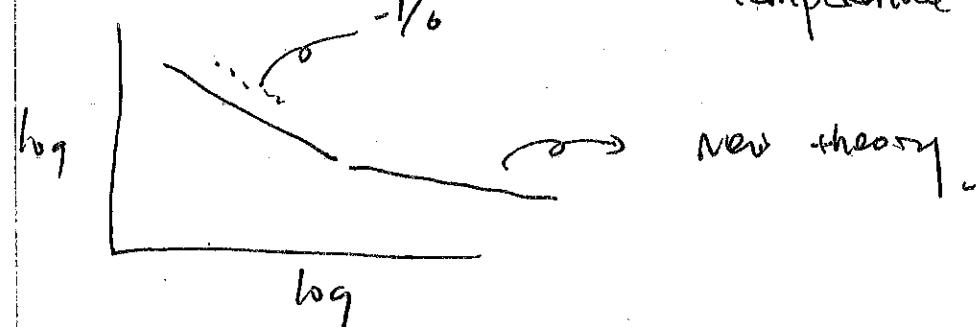
$$n = 2 [V_2O_5]$$

from $2e^-$ on RHS.

$$\frac{n^3}{2} P_{O_2}^{1/2} = K_{V_2O_5} \quad (\text{const.})$$

$$n = (2K_{V_2O_5}) P_{O_2}^{-1/6}$$

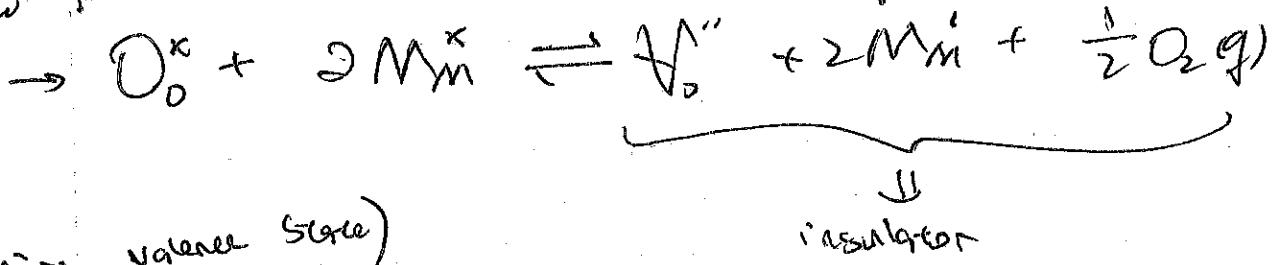
temperature-dependent





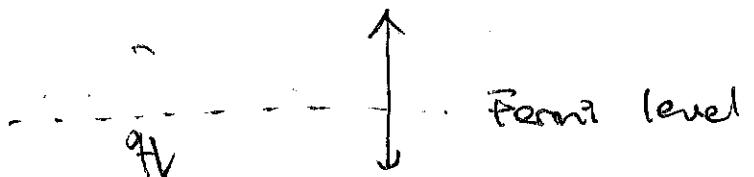
Electrons get
localized

now see

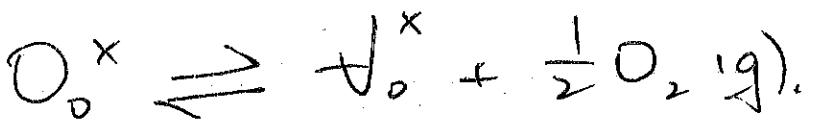


... How to tell whether $2e^-$ on the RHS

CB —————



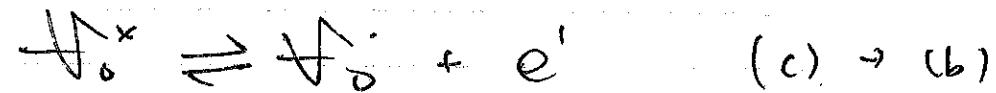
FB —————



$$K_{Vox} = \frac{[H_0^+][O_2]^{\frac{1}{2}}}{[O_0^x]} \quad \dots \textcircled{3}$$

if separation
is possible

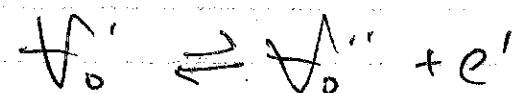
then K_{Vox} is the equilibrium const.



\downarrow \nearrow

$$K_{H_0} = \frac{[H_0] n}{[H_0^x]} \quad \dots \textcircled{2}$$

we are allowed to
formulate such formulae,
need to define with the
balanced charge.



$$K_{H_0} = \frac{[H_0''] n}{[H_0]} \quad (b) \rightarrow (a), \dots \textcircled{1}$$

Selectivity

$$n = [H_0'] + 2[H_0''] \quad \dots \textcircled{4}$$

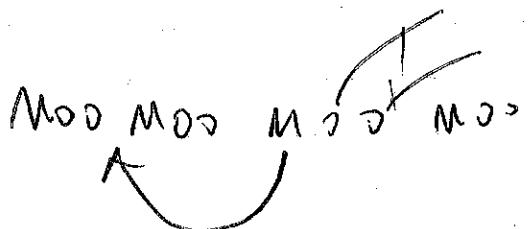
$H_0^x, H_0, H_0'', \cancel{H_0}$ too few

\cancel{n}

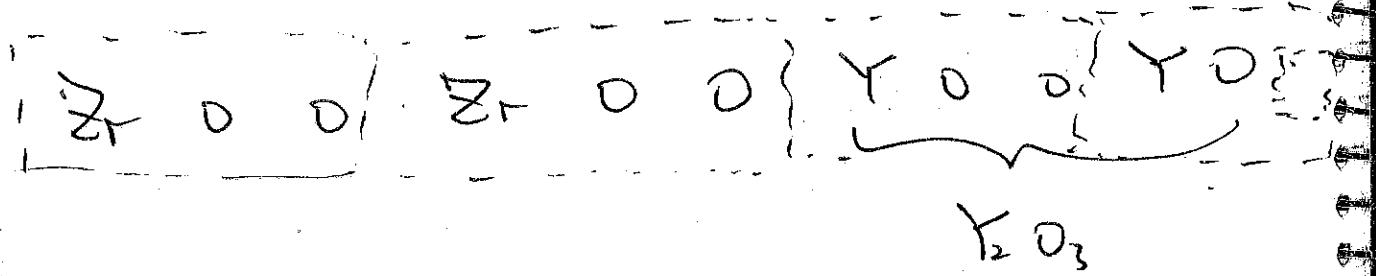
Q: ... what are the four
variables?

Oxygen leaves the crystal, but
no oxygen vacancies created

Start with an oxygen-excess crystal.



Q: Why no
oxygen vacancy?



Next class: substitutional defect

lecture 6 4/17/2024.

Tilley. Sn. T. 4

Nonstoichiometric crystal. Mo

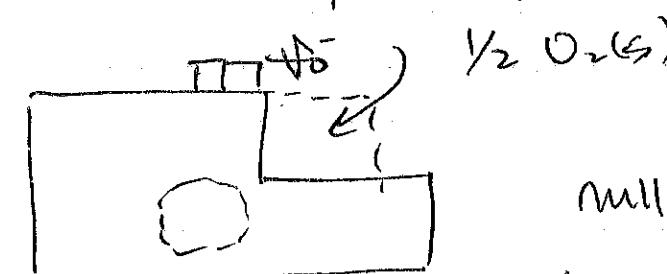
Assume only vacancies.

(# formation energy too high from interstitials in metal.)

V_m° , V_o°

always present use e' and h'.

① Schottky defect equilibrium.



$$n_{\text{H}} \rightleftharpoons V_m^{\circ} + V_o^{\circ}$$

$$K_s = [V_m^{\circ}] [V_o^{\circ}]$$

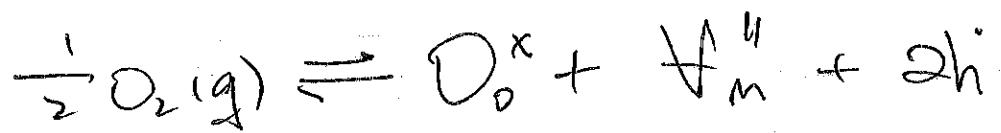
② Electronic defect equilibrium

$$n_{\text{H}} \rightleftharpoons e' + h'$$

$$[e'][h'] = K_e e^{-\frac{\text{band gap}}{kT}}$$

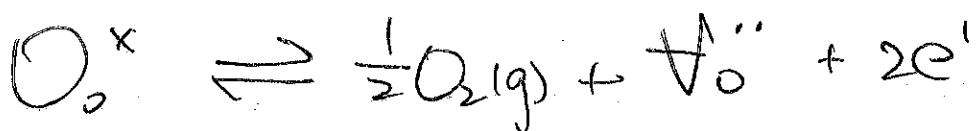
for semi-conductor -

3. Oxidation.



$$K_o = \frac{[F_n^{..}][h]^2}{P_{O_2}^{V_2}}$$

4. Reduction.



$$K_r = [F_o^{..}][e']^2 P_{O_2}^{V_2}$$

$$K_r = \frac{K_s K_e}{K_o}$$

→ "One of the 4 eqns is redundant!"

$$2[\text{H}_0^{\cdot\cdot}] = [\text{e}']$$

order of mag. factor
"ignores"

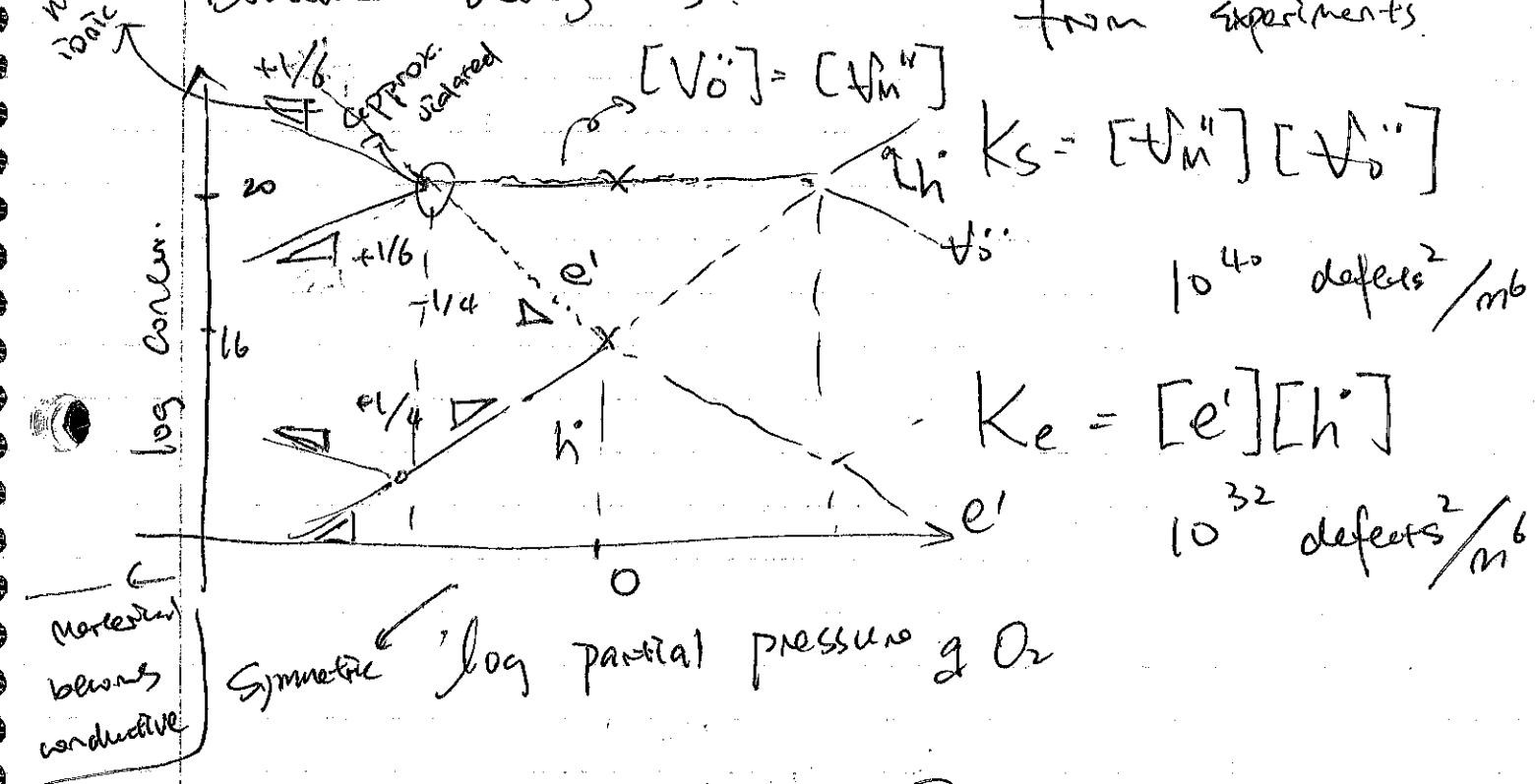
5. Electro-neutrality

$$2[\text{H}_m^{\cdot\cdot}] + [\text{e}'] = 2[\text{H}_0^{\cdot\cdot}] + [\text{h}'] \quad \dots (*)$$

Mixed ionic
elect. net.
not ionic

Bouwer diagrams.

from experiments



At 1 atm: $\text{M}_{1.000\dots} \text{O}_{1.000\dots}$

$$[\text{H}_m^{\cdot\cdot}] = [\text{H}_0^{\cdot\cdot}] = 10^{20} \text{ defects/m}^3$$

$$[\text{e}'] = [\text{h}'] = 10^{16} \text{ defects/m}^3$$

Eqn (*): approximation:

$$2[\text{H}_m^{\cdot\cdot}] = 2[\text{H}_0^{\cdot\cdot}]$$

$$[\text{e}'] = K_e^{1/2} K_s^{-1/4} P_{\text{O}_2}^{-1/4}$$

$$[h] = K_s^{1/2} K_r^{-1/4} P_{O_2}^{+1/4}$$

Rewrite the now stoichiometry

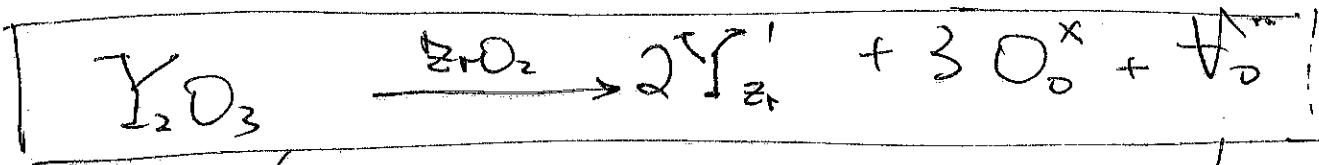
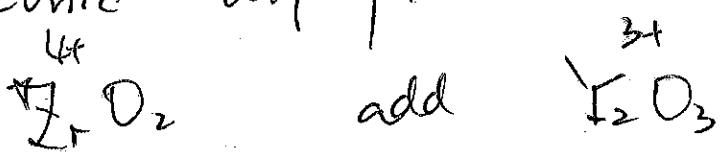
$$\partial [h^\bullet] = [e]$$

$$[e] = (\partial K_r)^{1/3} P_{O_2}^{-1/6}$$

$$[h^\bullet] = \underbrace{P_{O_2}^{+1/6}}$$

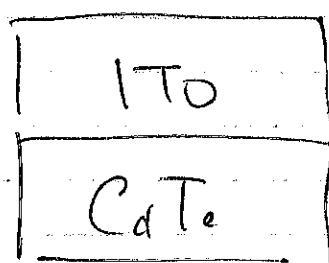
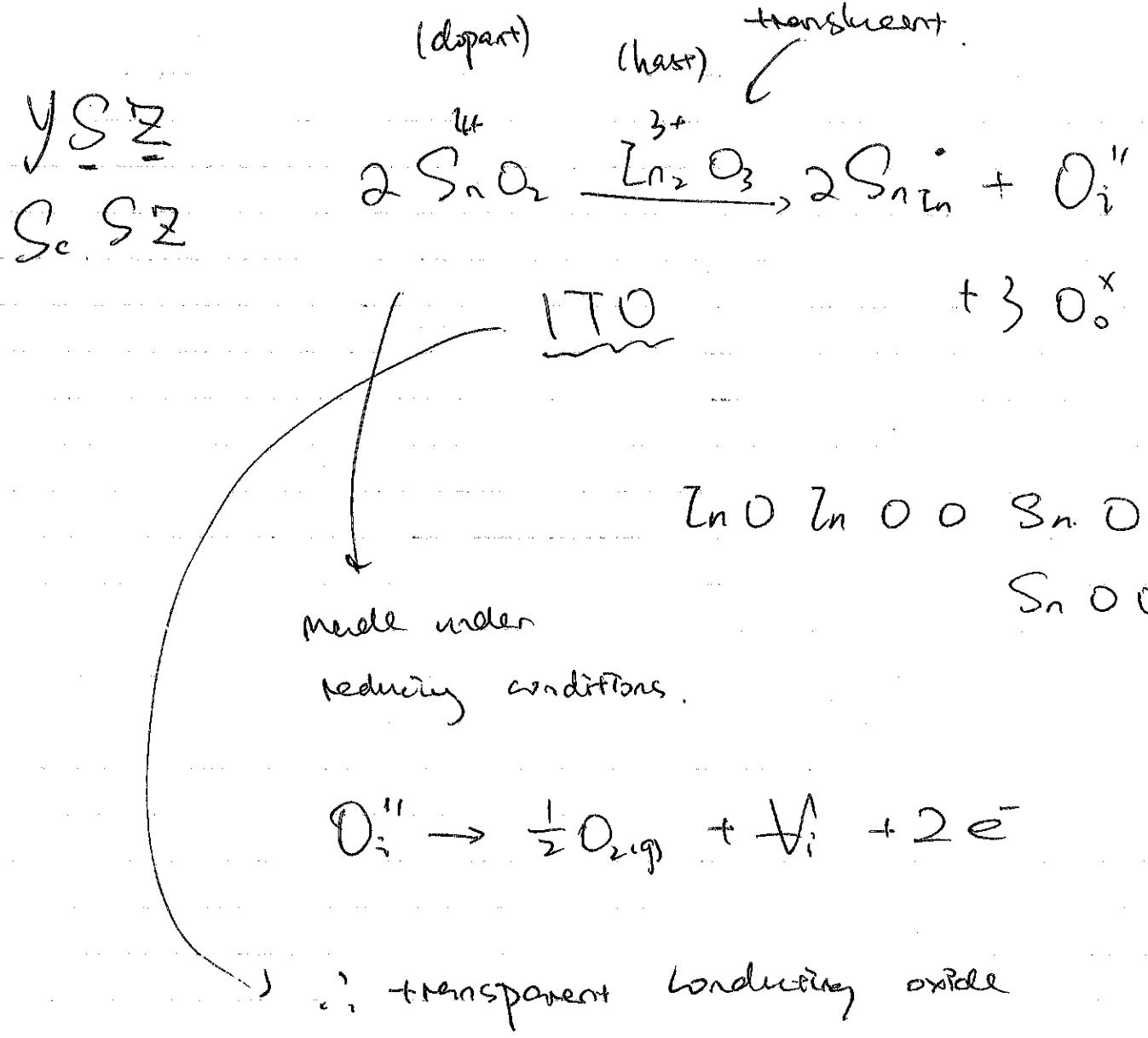
$$[h^\bullet] = \underbrace{\partial K_s (2K_r)^{-1/3}}_{\partial K_s} P_{O_2}^{+1/6}$$

Ionic doping



Great for
electrolytes

Site balance



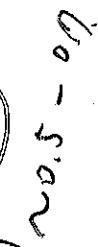
IGZO

- # mobility
- # carrier concentration

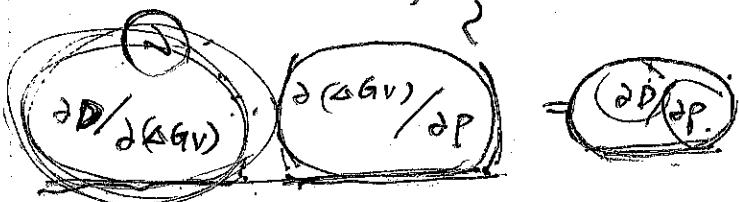
HW #1

Pb. #4

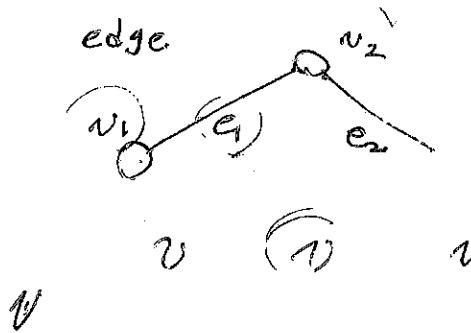
$$H = \left(\frac{\partial \Delta G_V}{\partial P} \right)$$



(a)



$$\Rightarrow \underbrace{\frac{\partial D}{\partial (\Delta G_V)} \cdot V_{eff}}_{\substack{\downarrow \\ \text{(rate, migration, vacancy)}}} \Big|_{T=\text{const.}} = \left(\frac{\partial D}{\partial P} \right) \quad \text{("formation volume" coeff. vs hyd. press.)}$$



$$r = \nu \cdot \exp(-\beta \cdot E)$$

exp

$$V = \frac{D_F}{e^{\frac{\Delta G_F}{RT}} e^{-\frac{\Delta G_m}{RT}}} \quad \begin{matrix} \text{exp} & \text{exp} \\ \text{v}, \text{v}_1, \text{v}_2 & \text{e}_1, \text{e}_2 \\ \Delta G_F = \int \delta \nu p \end{matrix}$$

$$= \frac{D}{e^{-\frac{\int \delta \nu p}{RT}} e^{-\frac{\Delta G_m}{RT}}} \quad \begin{matrix} V \uparrow \\ \cancel{F} \end{matrix}$$

lectures 7

4/22/2014.

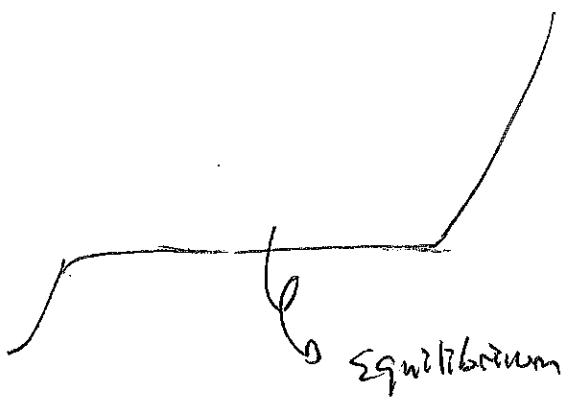
$$[T_{ii}'] = 4 \overbrace{[\text{H}_0'']}^{\text{Site molar fraction}}$$

$$2 [\text{H}_0'']$$

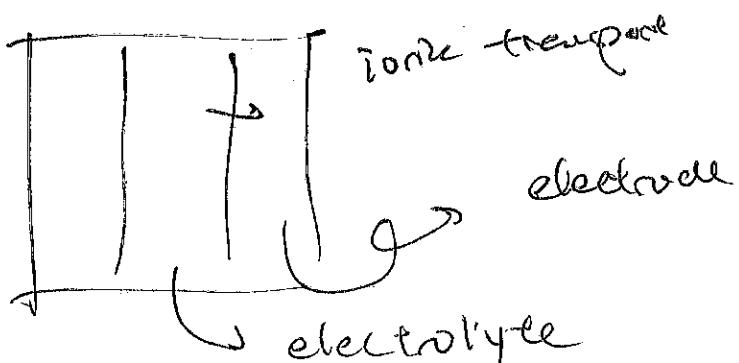
ionic "doping" by substitutional defects.

$$P_{Si}^x = P_{Si} + e' \quad \leftarrow \text{electronic doping}$$

$$B_{Si}^x = B_{Si}' + h'$$



Same number of carriers



N-type (electrons)

P-type (holes)

$\text{V}_0 \text{ Re}^{\uparrow}$

Electrons cancelling out the holes

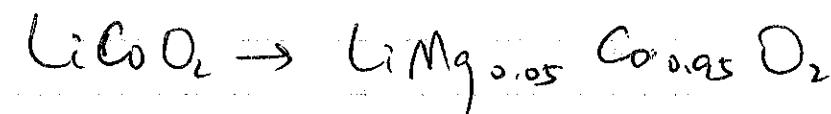
L
compensation

$\text{H}^+ \text{ Z}^+$

LiCoO_2 we have more electronically conductive

$\text{B} \leftarrow \text{Si}$

pure Si P-type



Battery materials:

$\left. \begin{array}{l} \text{NMC} \\ \text{LFP} \end{array} \right\}$

$$dU = dU - TdS + PdT$$

(1) \uparrow (2) \uparrow

③
 $+ \mu dN$

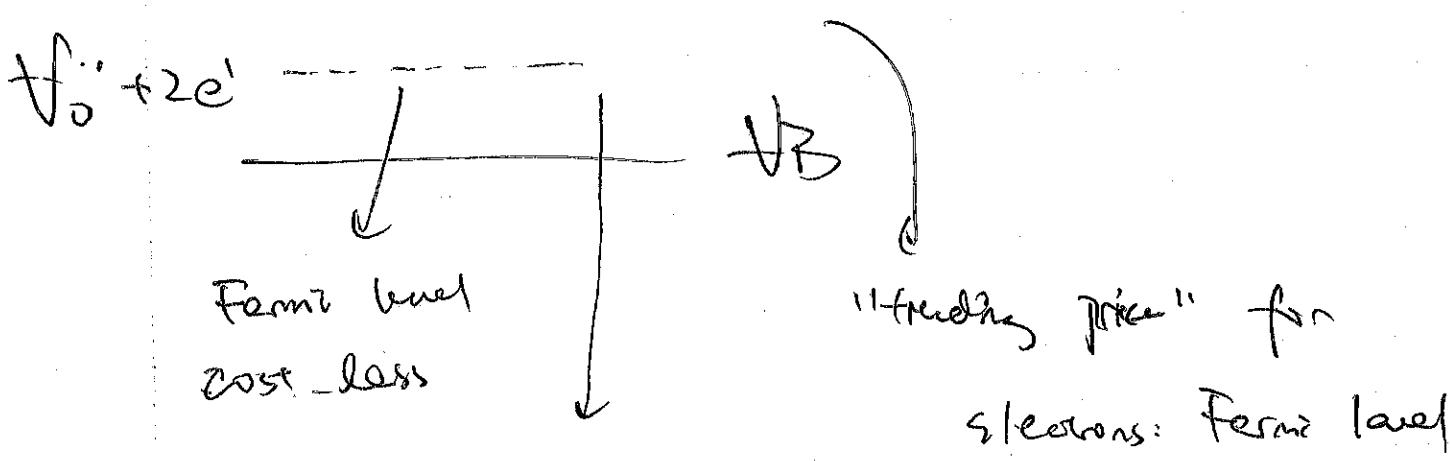
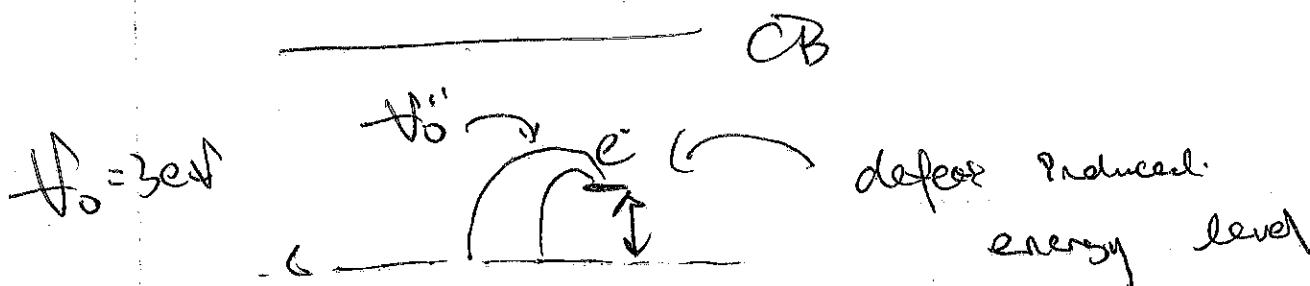
↑ change chem potential

$$\Delta_f E(X) = E(X_A^g) + E(\text{bulk})$$

$$- \sum_i \Delta n_i \mu_i + g \epsilon_F \quad (\text{Fermi level})$$

buy & sell atoms buy & sell electrons

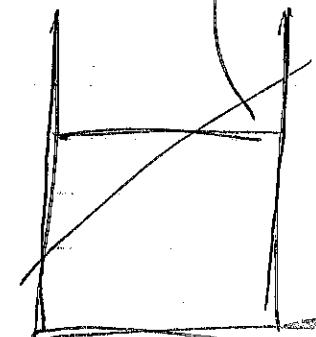
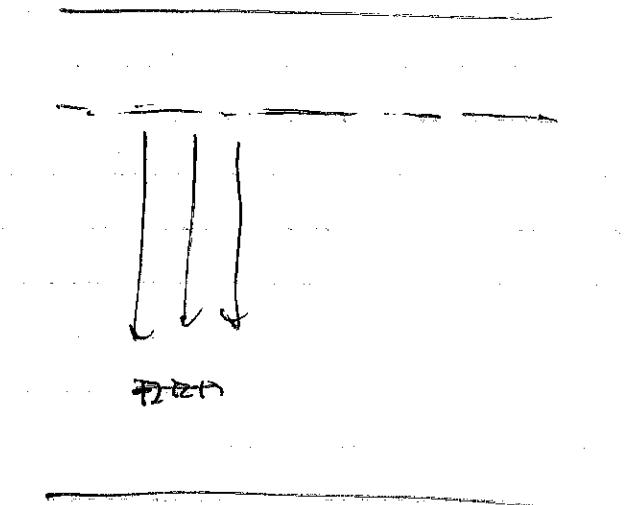
focusing on the term $g \epsilon_F$



As Fermi level goes lower,

one can recover bigger vacancy

Cannot form positive
charge defect
(Should
go to
neutral)



"buy high
sell low"

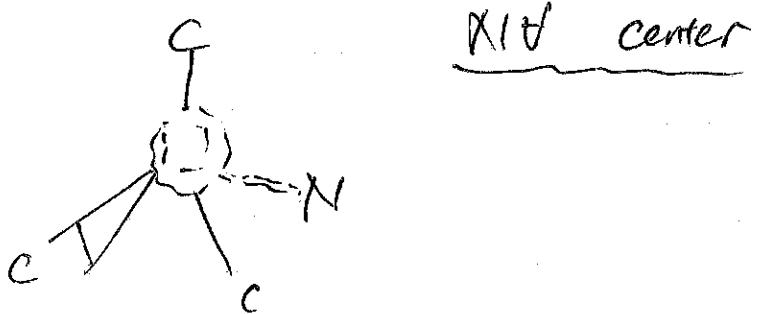
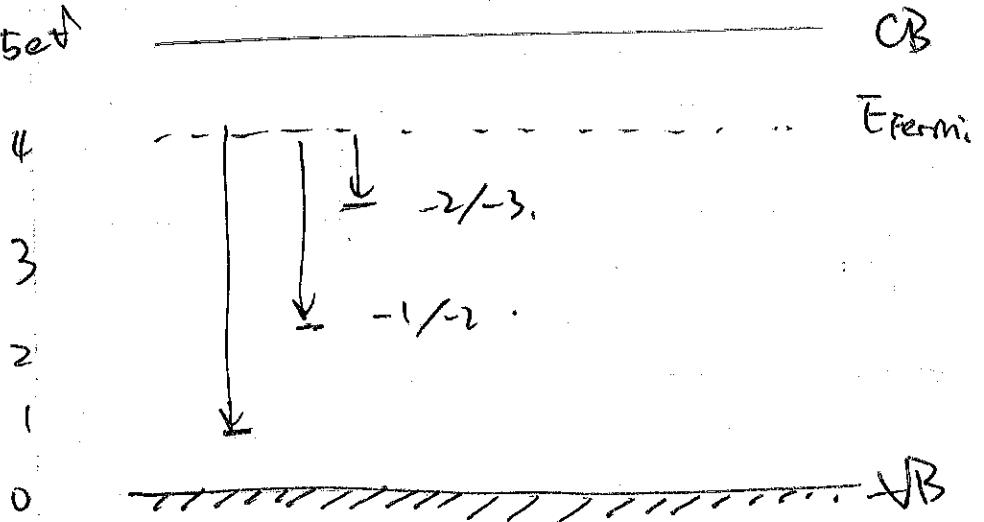
doping of semiconductors

How to self-limit, i.e., no doping,

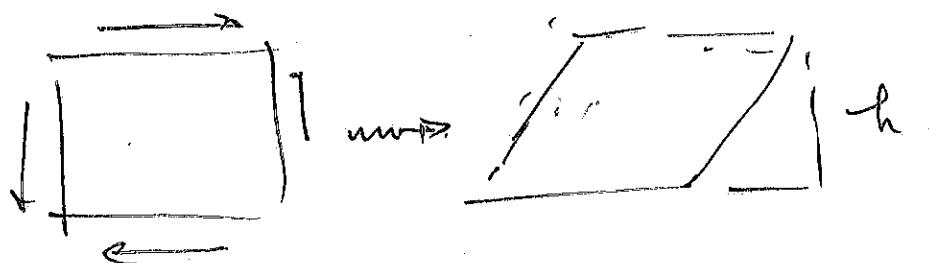
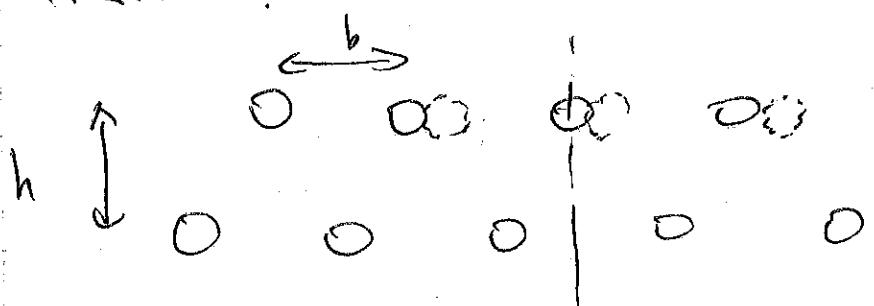
Lesson 8

4/24/2024

Sett



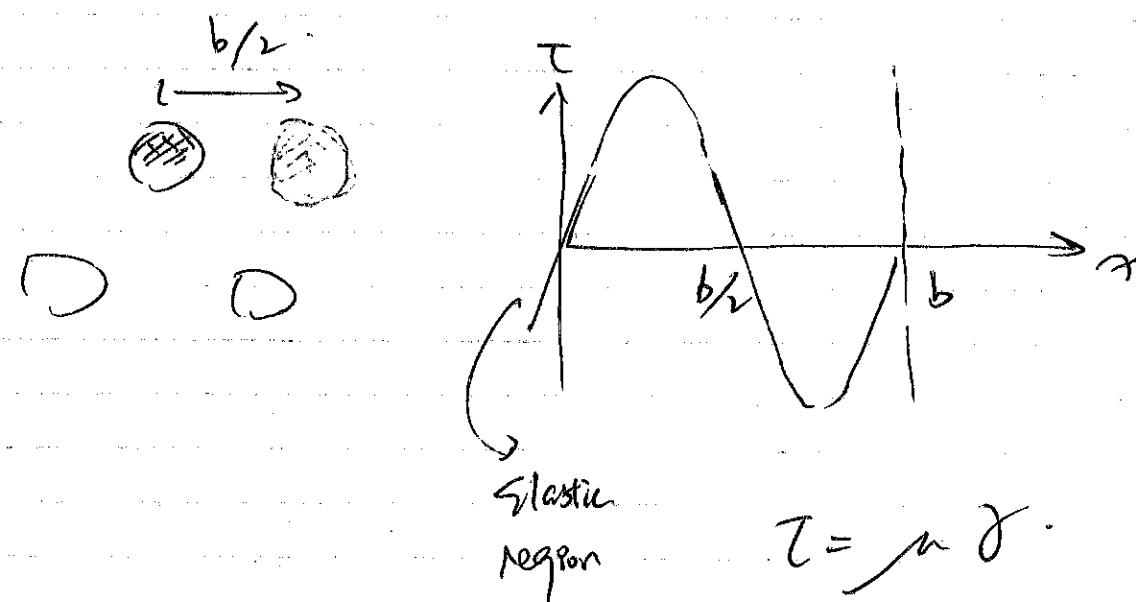
Frenkel



$$\tau = f_{max}$$

Valid for crystal

$$\tau = \tau_{max} \sin\left(\frac{2\pi x}{b}\right)$$



$$\tau_{max} = \frac{\mu b}{2\pi h}$$

$$\leftarrow \frac{\tau_{max} 2\pi x}{b}$$

$$\approx \mu \frac{x}{h}$$

Valid for

all

$$= \frac{\mu}{2\pi} \approx \frac{\mu}{10}$$

most shear one

metals

can apply

$$\mu = 100 \text{ GPa}$$

$$\tau_{max} \approx 10 \text{ GPa} \rightarrow \text{off factor } 100 \times$$

$$\tau_{max, \text{measured}} = 100 \text{ MPa}$$

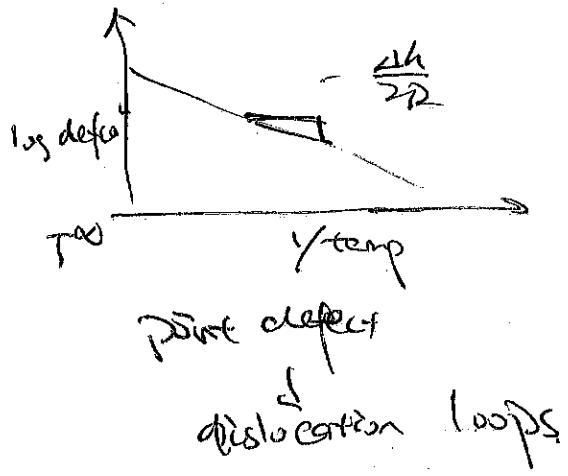
1930s: ~ 100x off w/ theory predictions

{ Taylor
Orwan.
Polyani

Sources of dislocations.

(point defect)

- Quenching
- Crystal growth
- Plastic deformation
(bending).

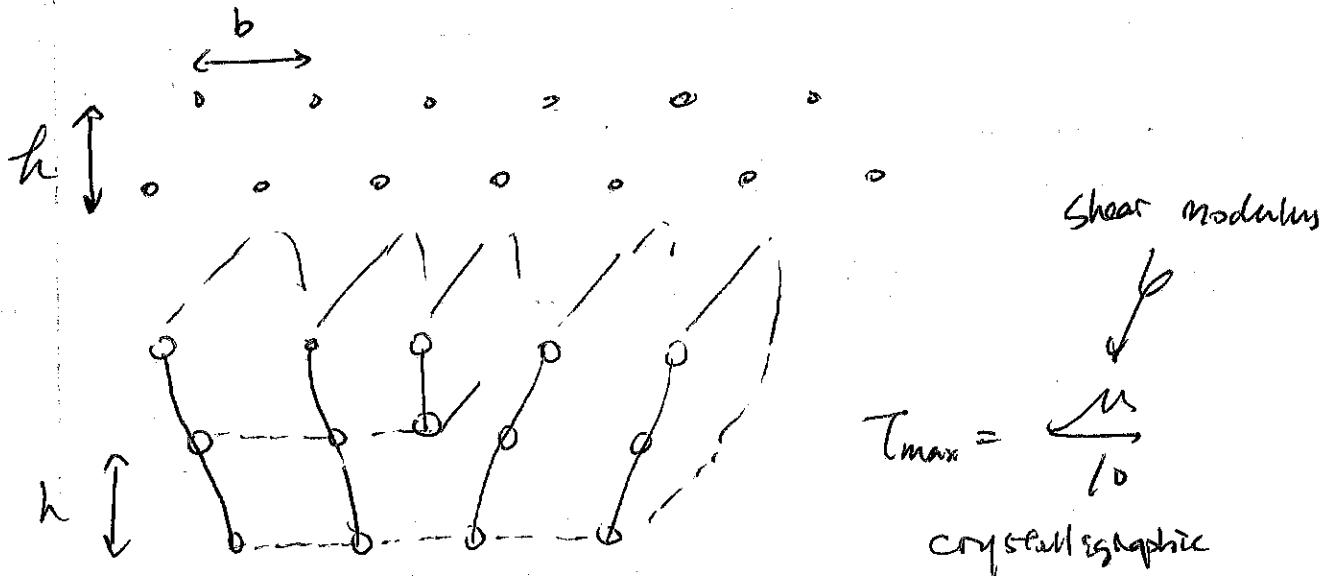


OH

"if we are stuck at a vacancy of
1 type, e.g. "H", one can always
create another vacancy to balance and
remove the both."

because q

6/29/2024



$$T_{\max} = \frac{\mu}{h}$$

crystallographic

$$T_{\max-\text{points}} = \frac{2\mu}{1-v} \exp\left(\frac{-2\pi h}{(1-v)b}\right)$$

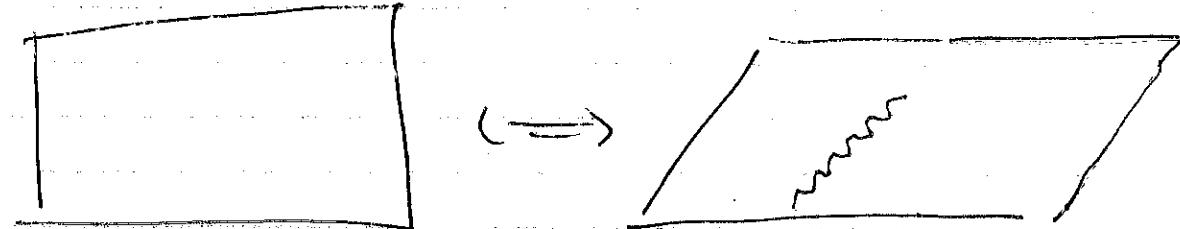
Poisson's ratio

T : Burgers Vector

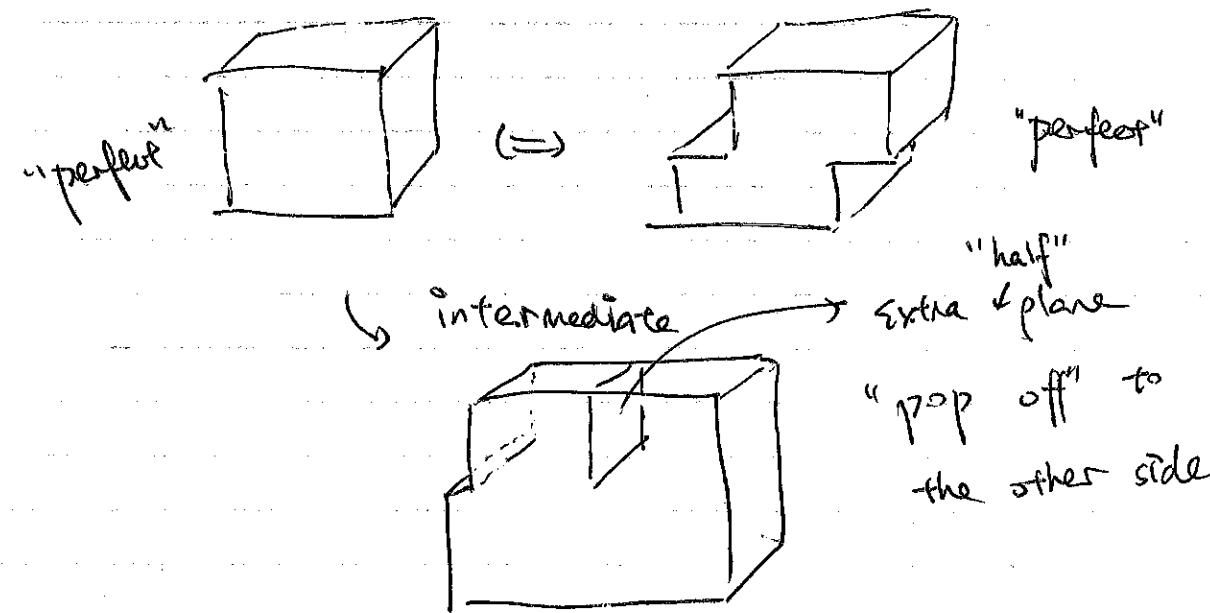
ξ : line direction, Sense vector

unit vector

apply shear

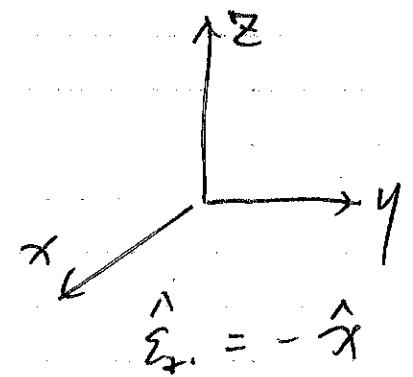


Zoomed view:



line direction . sense vector . unit vector

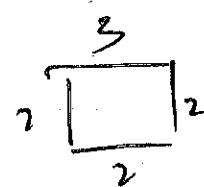
"into the plane"

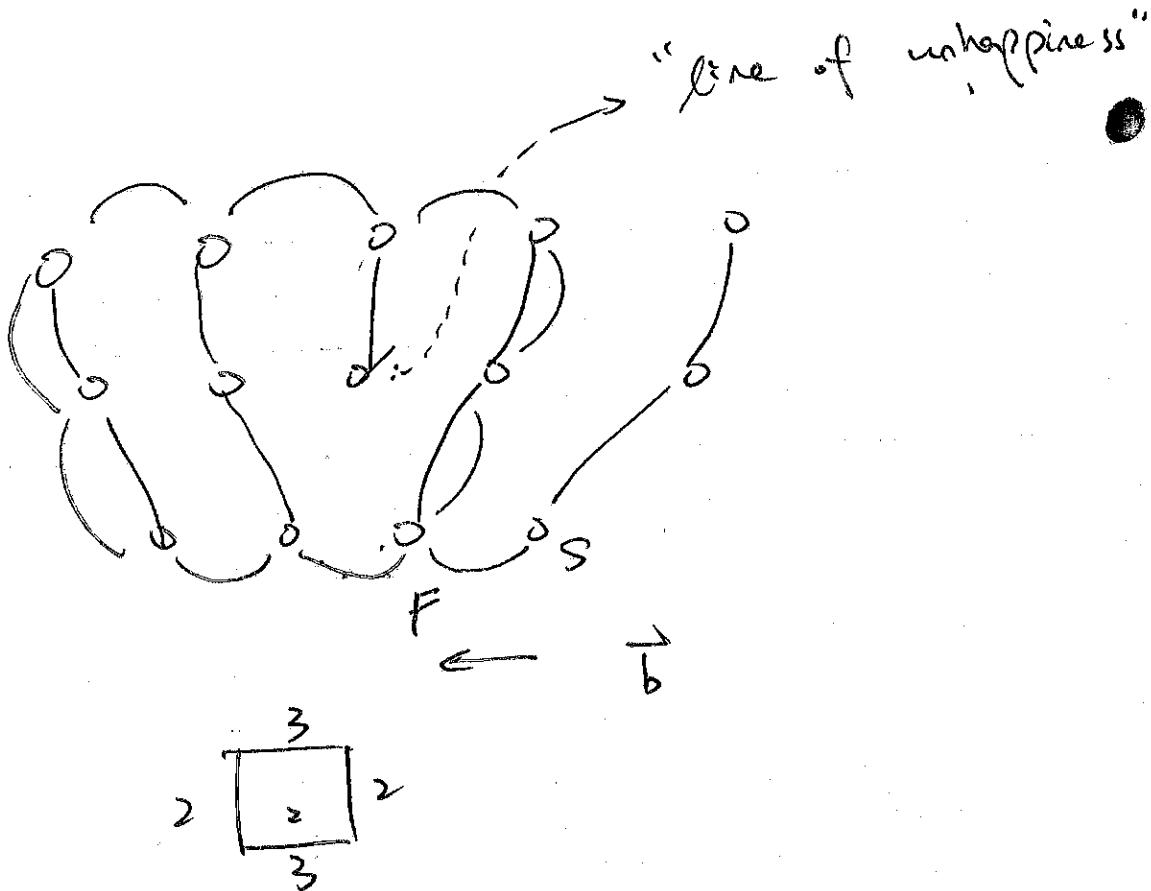


RH - SF

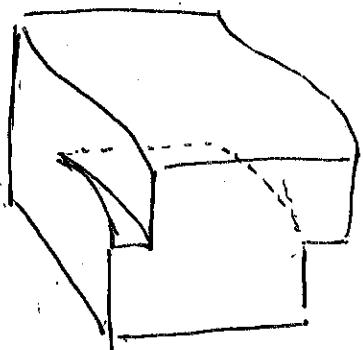
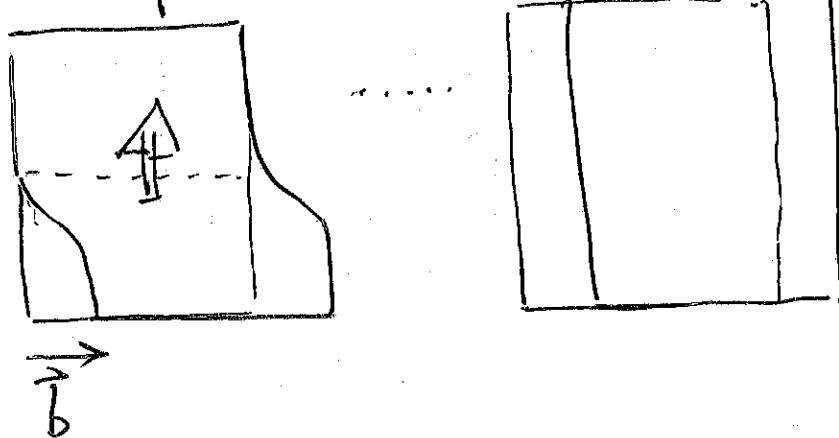
Start

) finish



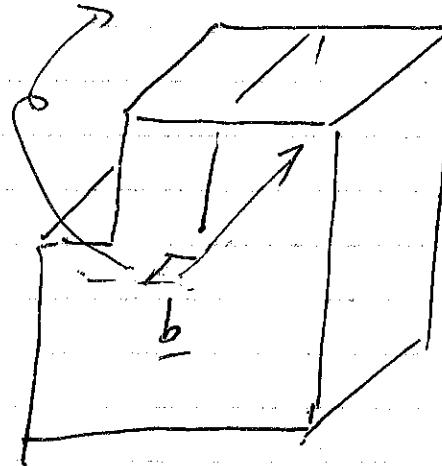


Top View.



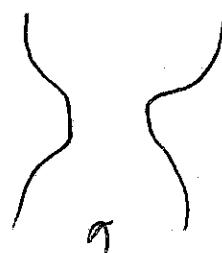
Angle between \vec{b} and $\vec{\ell}$:

90° : edge dislocation



$$\vec{\ell} = -\hat{x}$$

$$\vec{b} = +\hat{x}$$

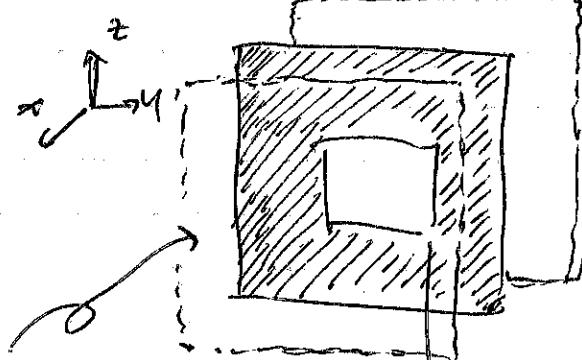
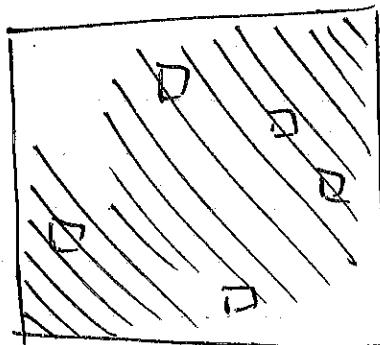


0° degree

dislocations

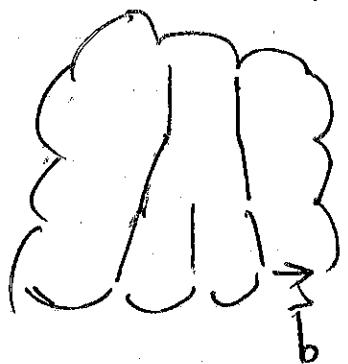
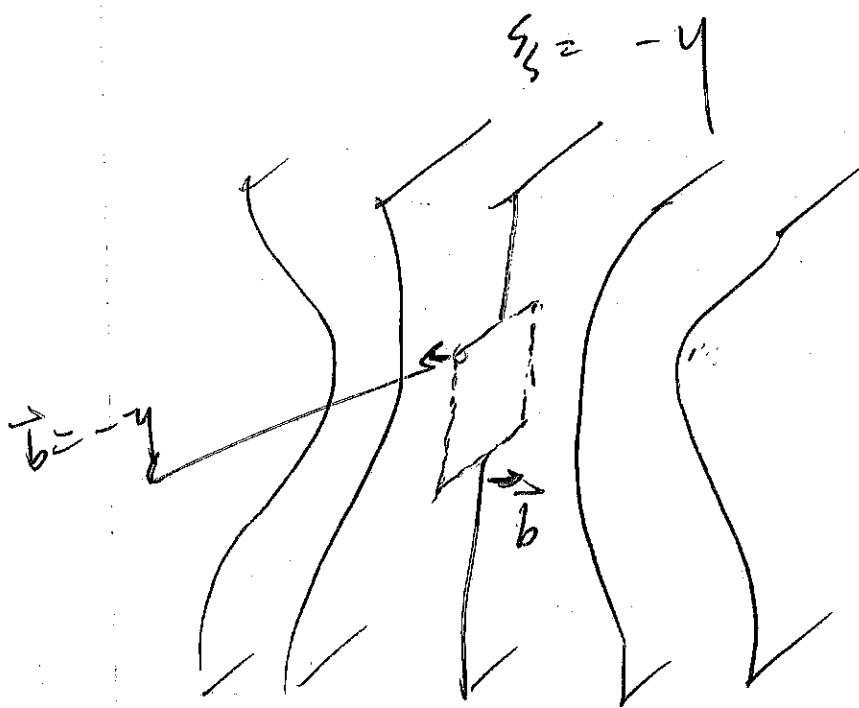
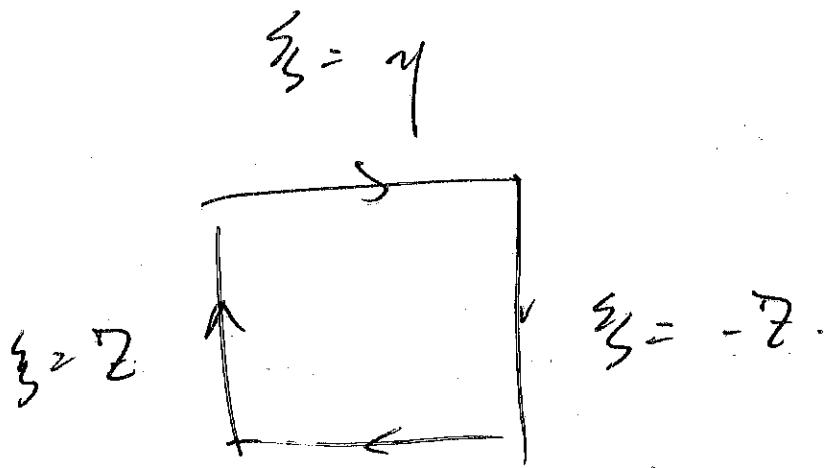
180° degree

plane of atoms ...



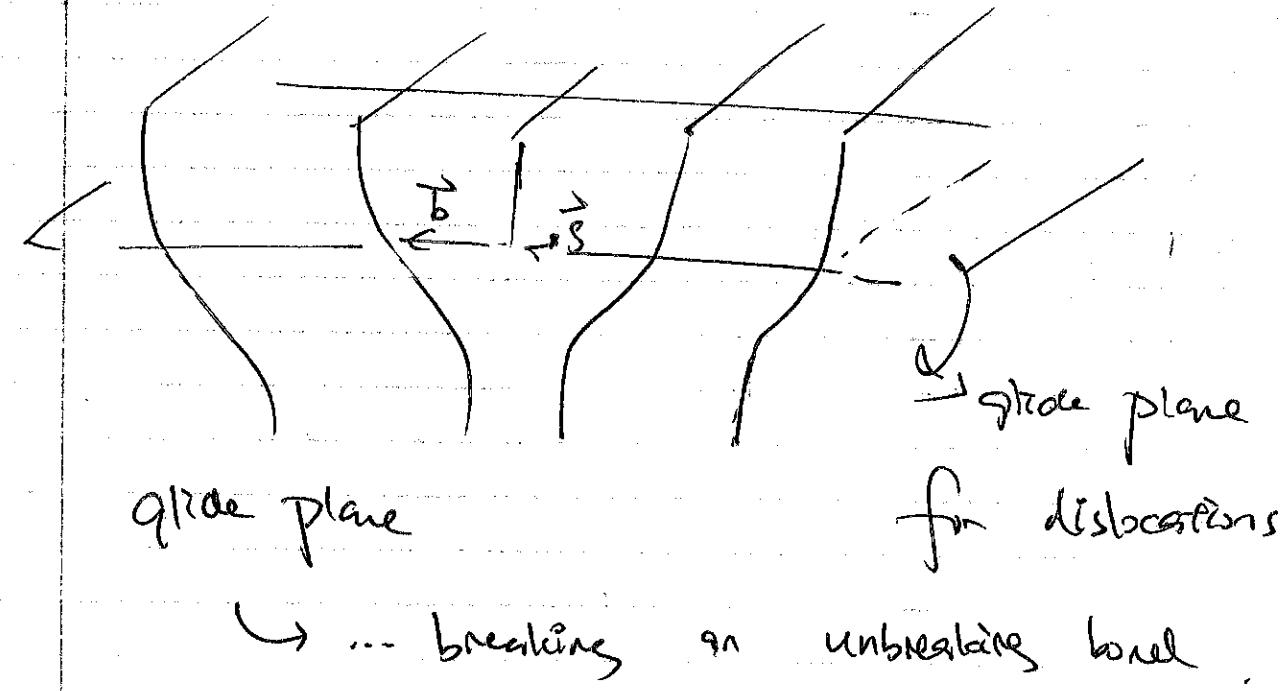
vacancies

boundary
bar is
the dislocation



Burgers vector



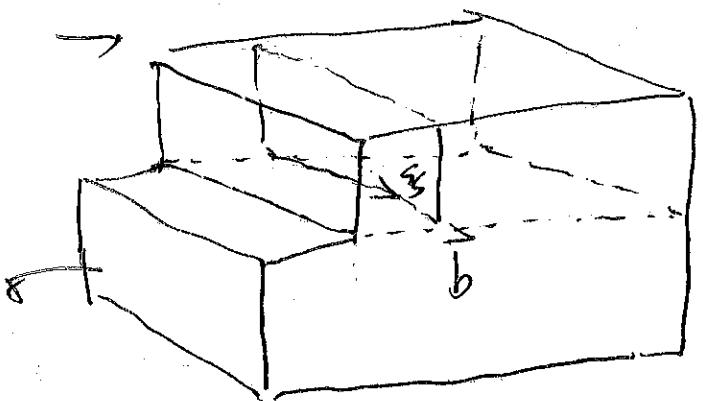
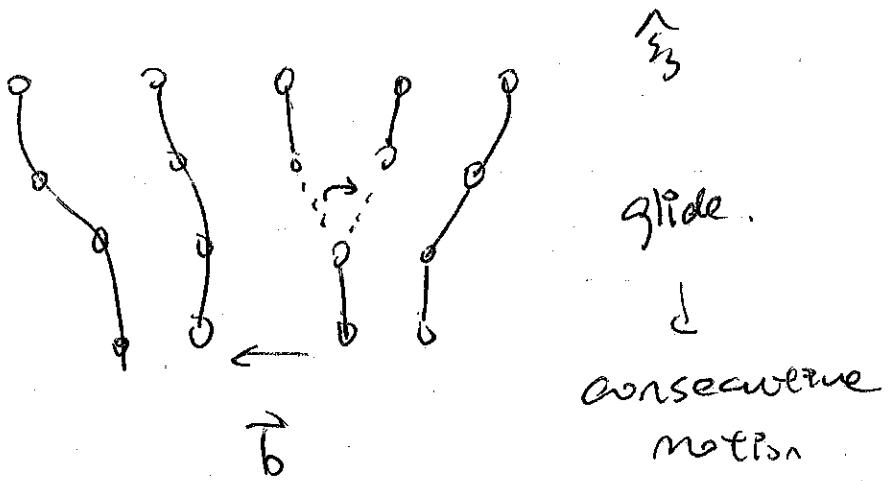


25 off plane

dislocation ← put energy into system

became 40

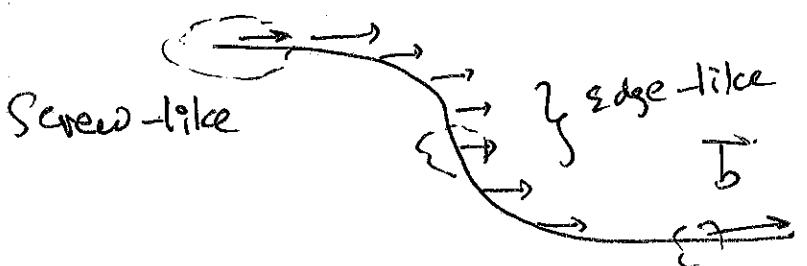
5/1/2024.

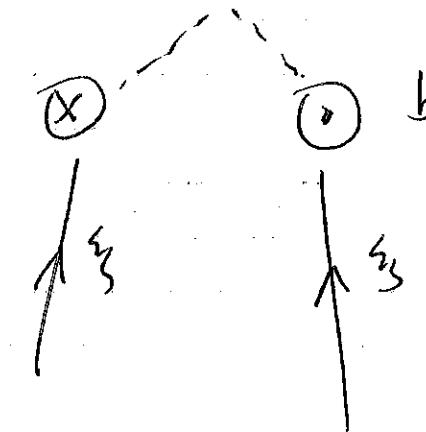
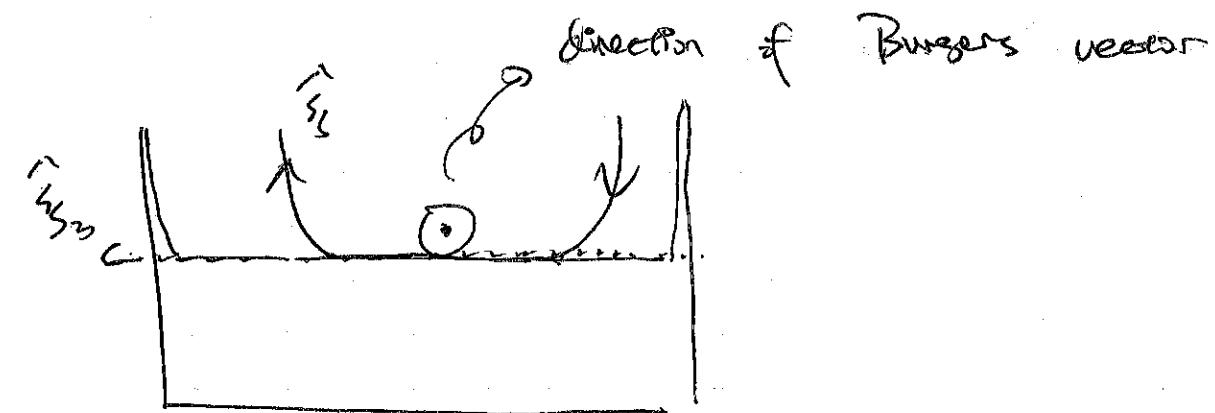
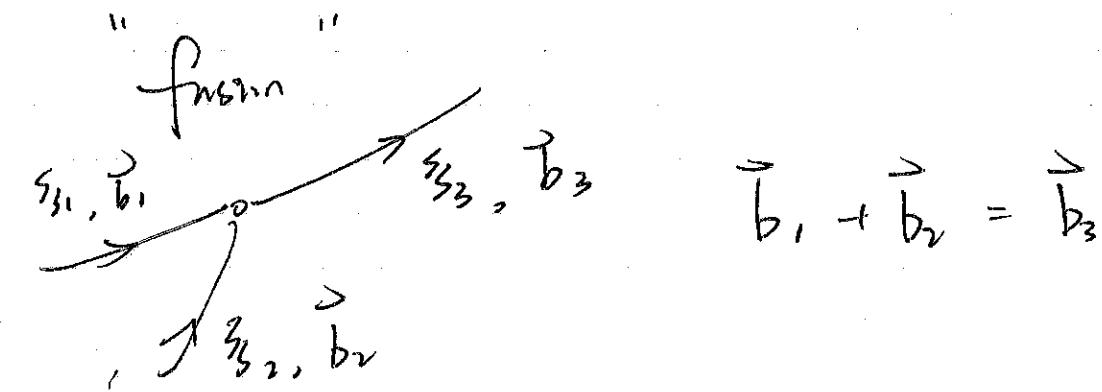
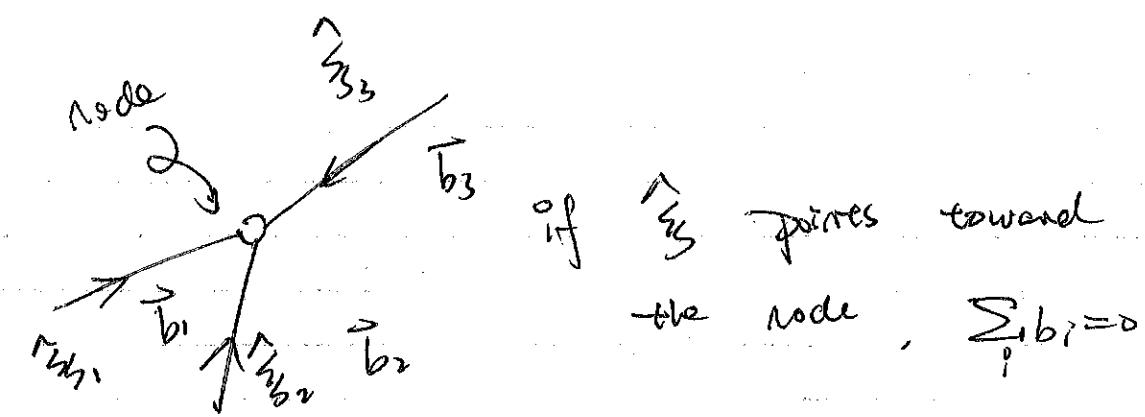


glide plane : contain \vec{b} and $\hat{\gamma}$

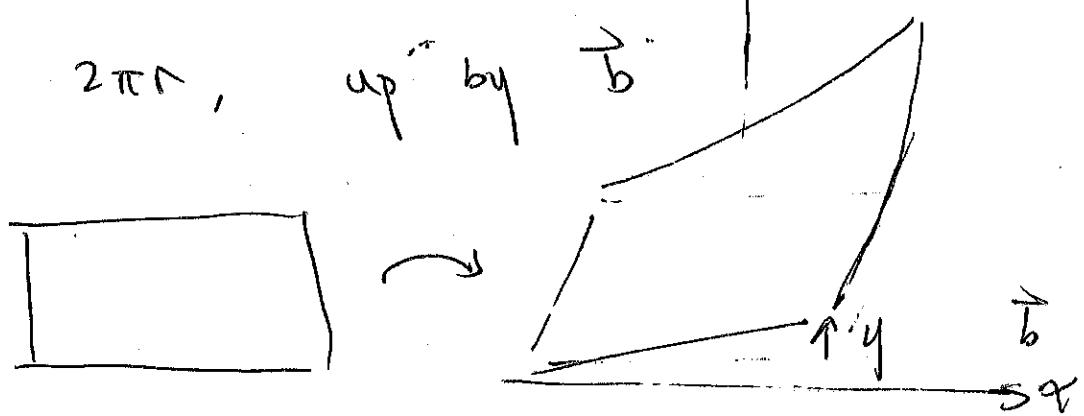
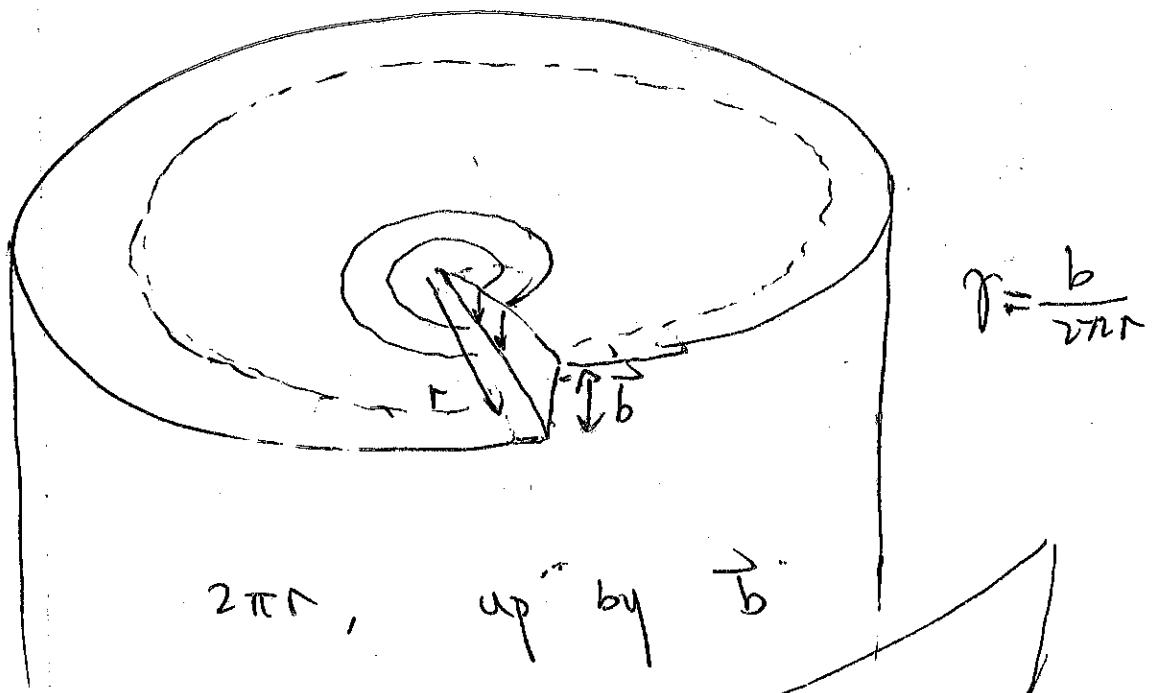
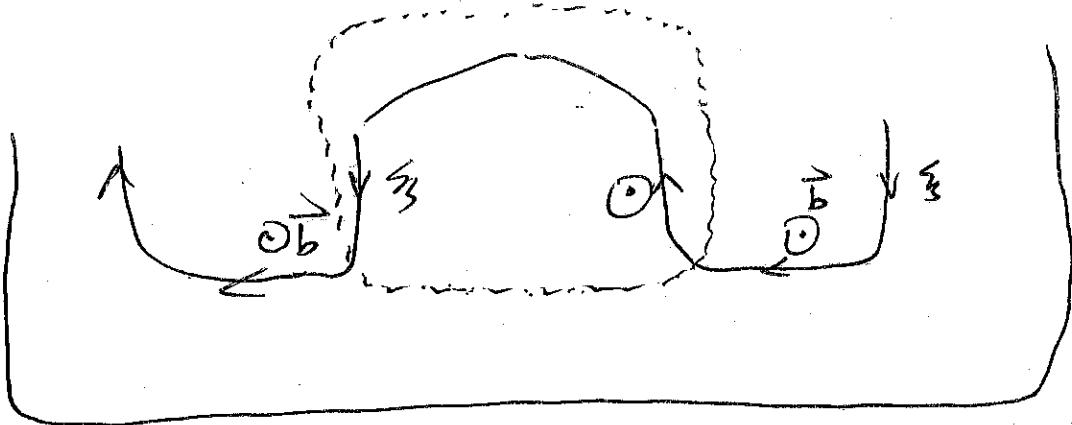
$\vec{b} \perp \hat{\gamma}$ edge dislocation.

$\vec{b} \parallel \hat{\gamma}$ screw dislocation





annihilation



$$u(r) = \int T dr$$

Strain Energy

$$\text{per unit vol.} = \frac{1}{2} G \delta$$

shear mod.

$$= \frac{\mu \delta^2}{2}$$

$$= \frac{\mu b^2}{8\pi^2 r^2}$$

$$U_{\text{Screw}} = \int u dr$$

$$U_{\text{Screw}} = \text{unit length} \times \int \frac{\mu b^2}{8\pi^2 r^2} 2\pi r dr$$

$$= \frac{\mu b^2}{4\pi} \ln \left(\frac{r}{r_0} \right)$$

outer cut off $\propto \frac{b}{2}$

$\approx \mu b^2$ inner cut off

inter-dislocation
distance

linear elasticity not used

$$\begin{aligned} \mu &= 100 \text{ GPa. } \\ b &= 0.3 \text{ nm. } \end{aligned} \quad \left. \right\}$$

$$\begin{aligned} &(100 \times 10^9) (0.3 \times 10^{-9})^2 \\ &= 10^{-8} \text{ J/m} \end{aligned}$$

~~Energy
per plane of atoms~~

$$10^{-8} \text{ J/m} \times 0.3 \times 10^{-9} \text{ m}$$

$$\approx 3 \times 10^{-18} \text{ J}$$

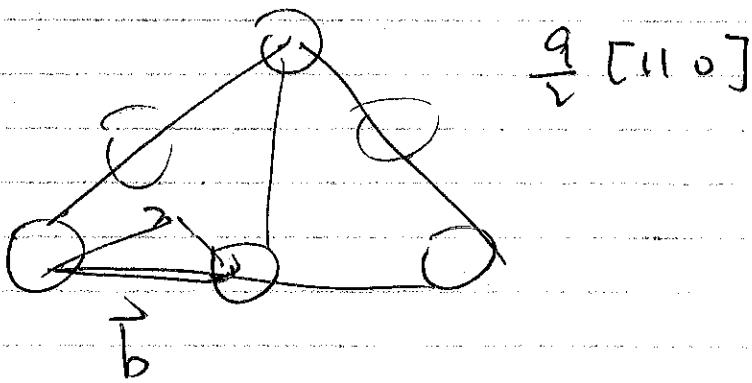
$$\boxed{\begin{aligned} &1.6 \times 10^{19} \text{ J} \\ &= 1 \text{ eV. } \end{aligned}}$$

~~$\approx 5 \text{ eV}$
plane of atoms~~

~~20 % core~~

~~80 % elastic field~~

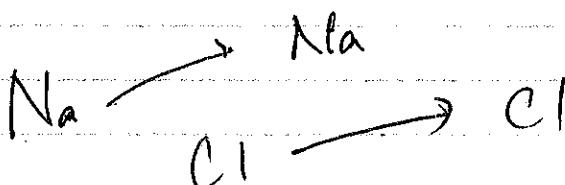
If there exists a dislocation, b will be minimized.



NaCl.

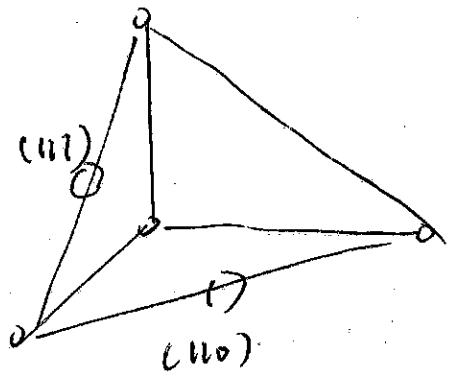
FCC \leftarrow lattice

basis



X "not allowed"

become 11. Week 6 . 5 / 2024.



glide plane

contains both

\vec{e}_3 & \vec{b}

close packed plane

close packed plane / Burgers vector

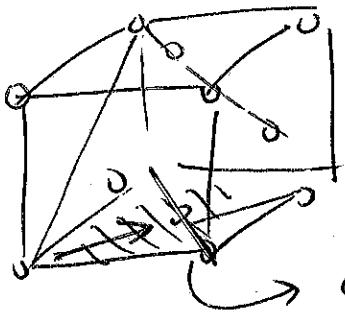
slip system.

Fcc. $\frac{a}{2} \langle 110 \rangle, g_1 = 1\}$

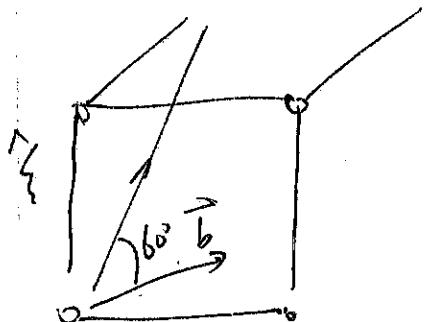


b - vector

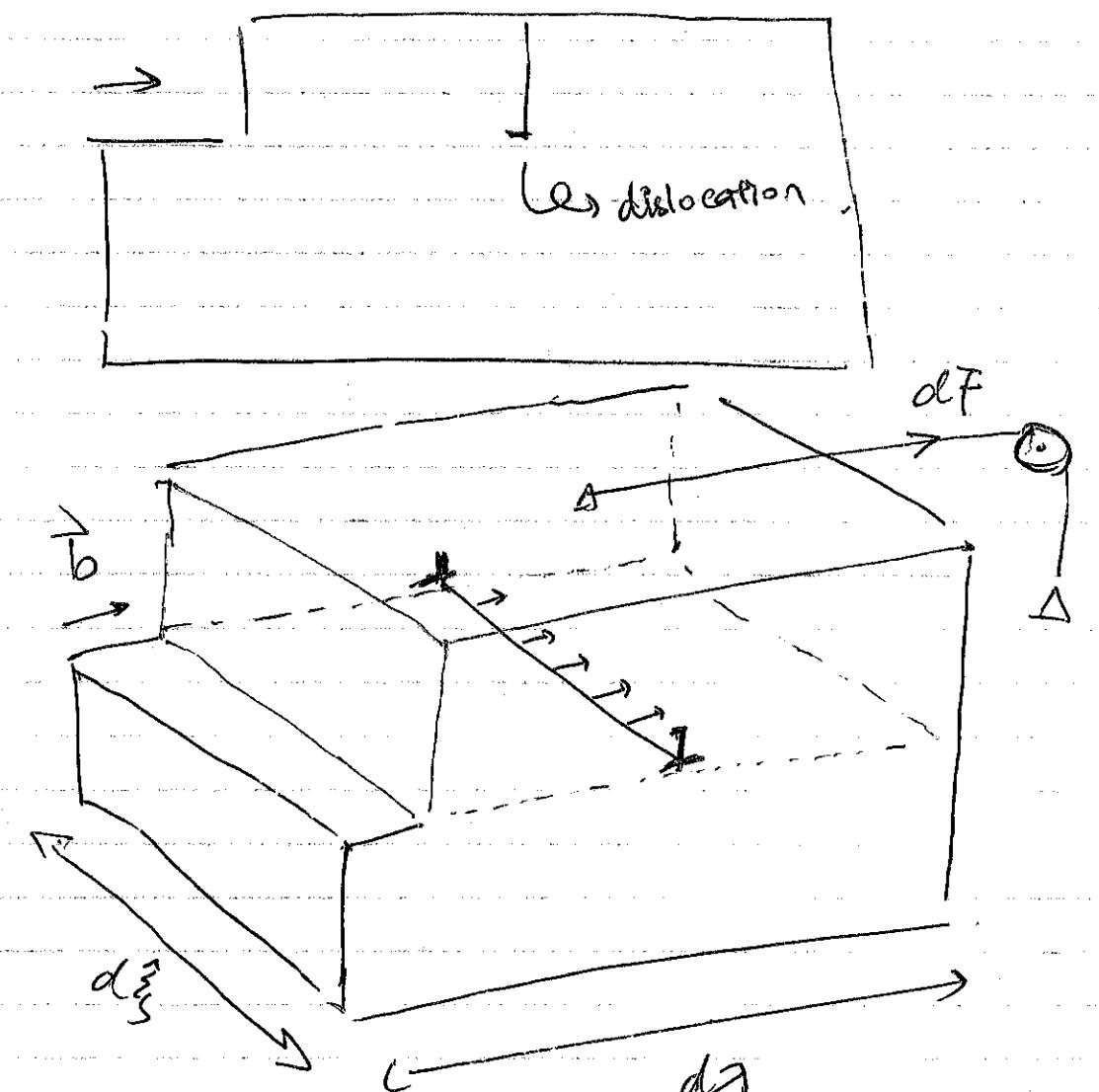
glide plane



$<. > \{ . \}$



60° dislocation BCC



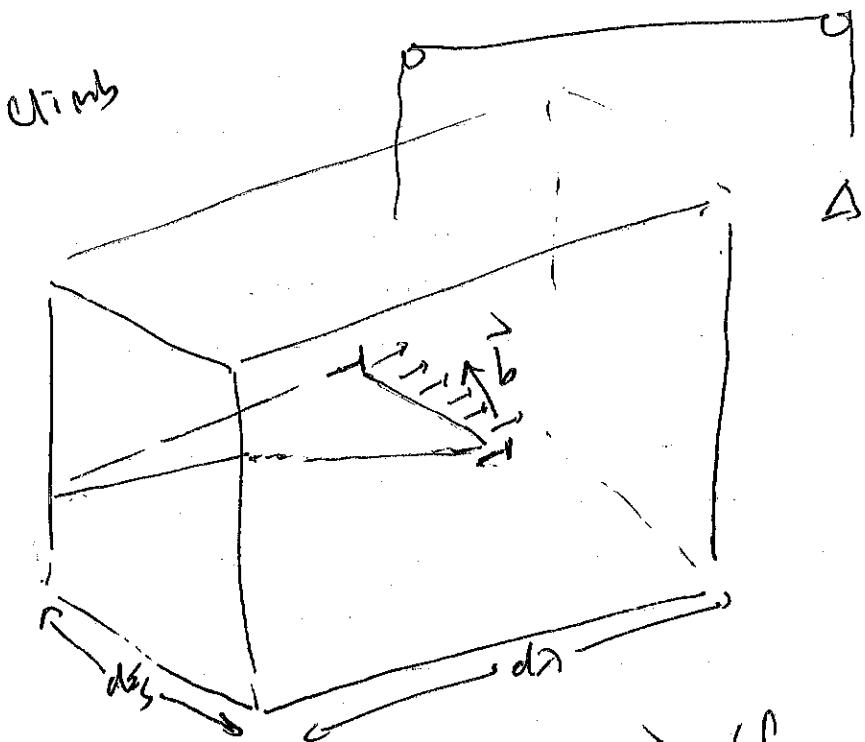
$$dF = \tau (d\xi \times d\gamma)$$

dislocation feels force / unit length. $\rightarrow f_g$

$$W = dF \times b = (f_g \times d\xi) \times d\gamma$$

$= 2 (d\xi \times d\gamma) \times b$ \propto how much force

$$= f_g \times d\xi \times d\gamma \rightarrow f_g = \tau b$$



$$W = dP \times \vec{b} = (f_c \times dy) \times dz$$

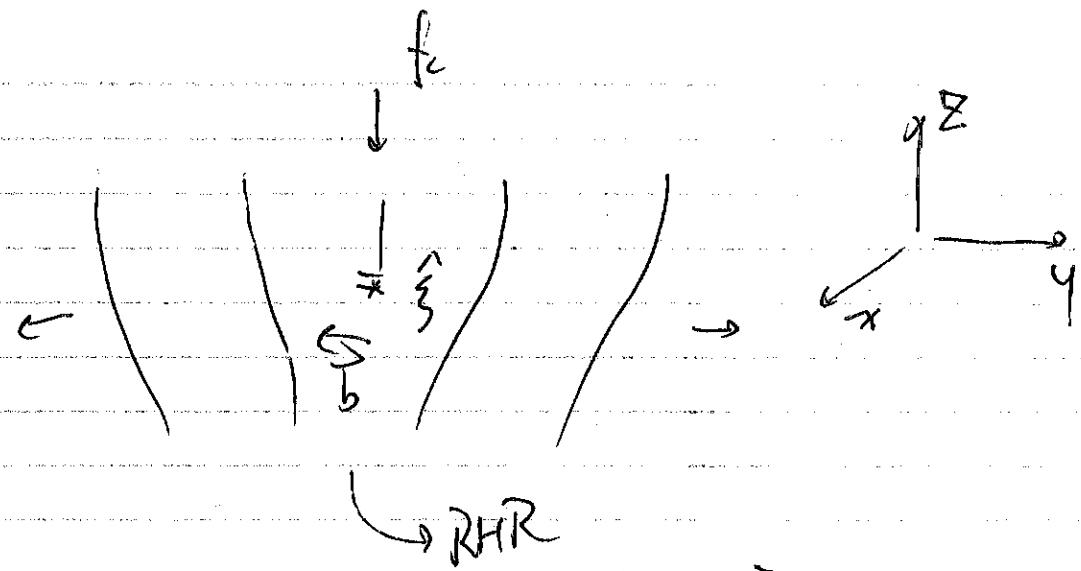
$$\sigma(dy \times dz) \times b = f_c \times dy \times dz$$

$$\sigma b = f_c$$

In general

$$\frac{F}{l} = (\sigma \cdot b) \cdot \hat{\xi}$$

↗ Stress tensor

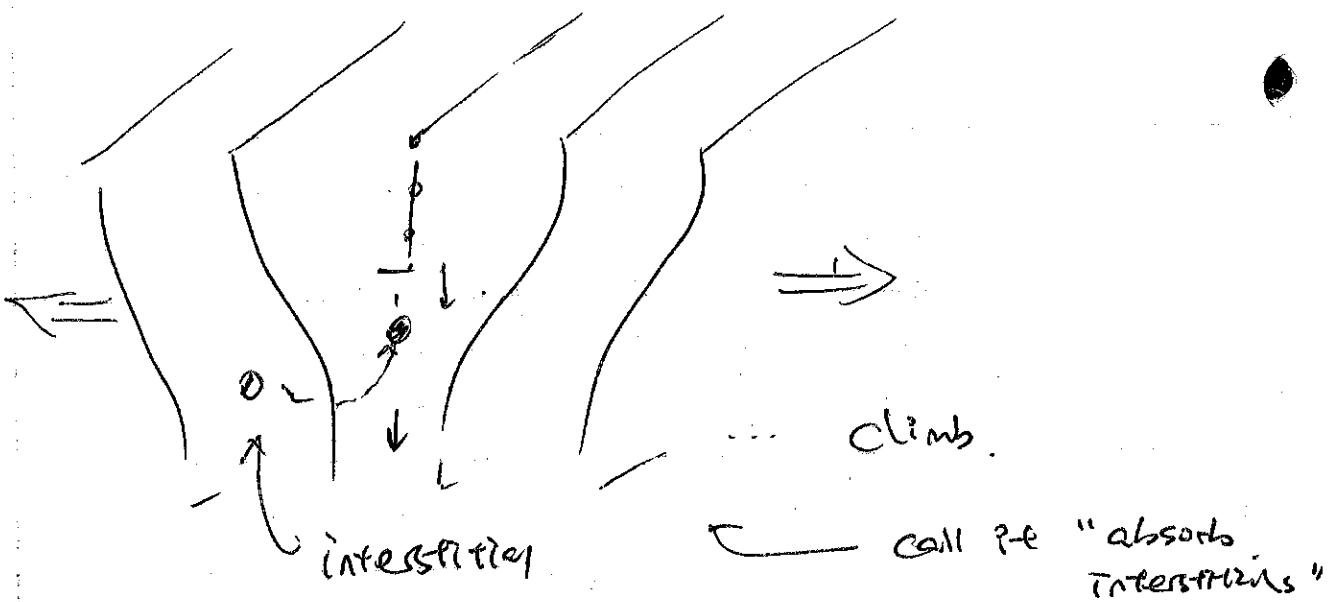


$$\begin{matrix} \vec{\epsilon}_z = \\ \left[\begin{array}{c} -1 \\ 0 \\ 0 \end{array} \right] \end{matrix} \quad \begin{matrix} \vec{b} = \\ \left[\begin{array}{c} 0 \\ -b \\ 0 \end{array} \right] \end{matrix}$$

$$G_{ii} = \left[\begin{array}{ccc} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array} \right]$$

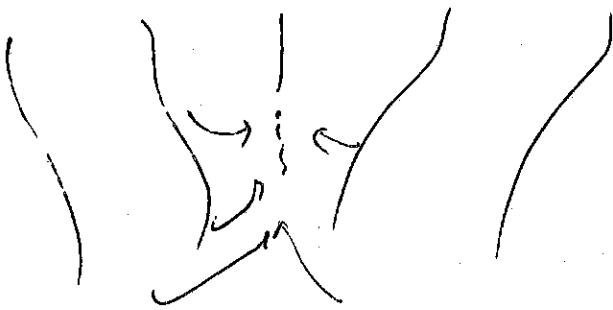
$$G \cdot \underline{b} = \left[\begin{array}{c} 0 \\ -\sigma b \\ 0 \end{array} \right] \times \left[\begin{array}{c} -1 \\ 0 \\ 0 \end{array} \right]$$

$$\begin{vmatrix} i & j & k \\ 0 & -\sigma b & 0 \\ -1 & 0 & 0 \end{vmatrix} = i(0 \cdot 0) - j(0) + k(-\sigma b) \\ = -\sigma b \cdot k$$



"we need many point defect. to move
down the glide plane.

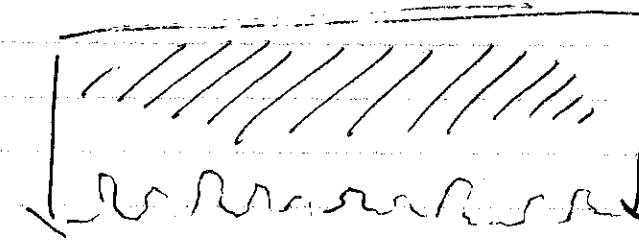
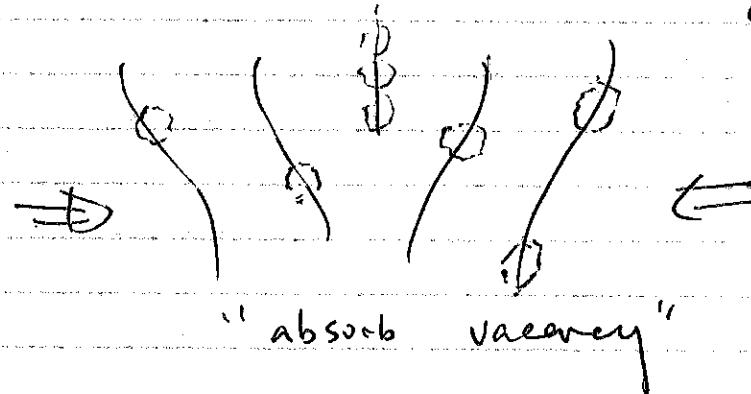
Another way



Suck atoms from surround,
by creating vacancies.

Opposite forces

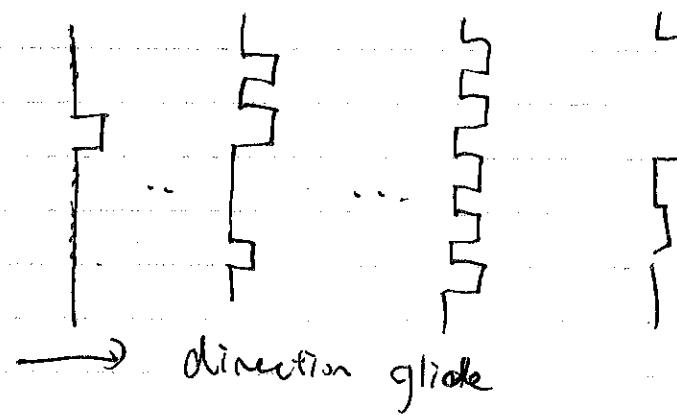
diss: high temperatures

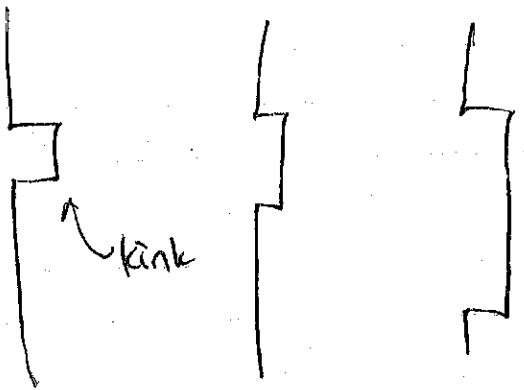


Glide : low temperate.

Glide + climb : high temperatures.

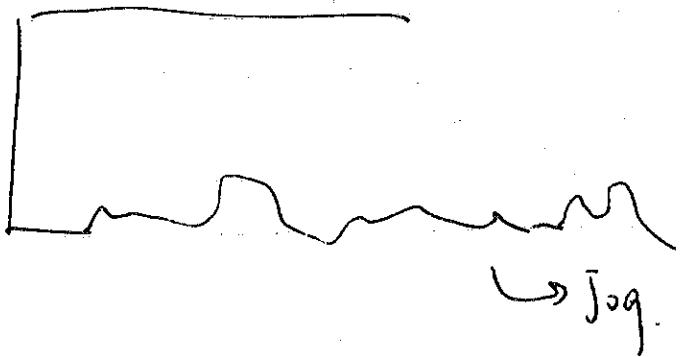
top view dislocation





having kinks

Increases entropy
of dislocation



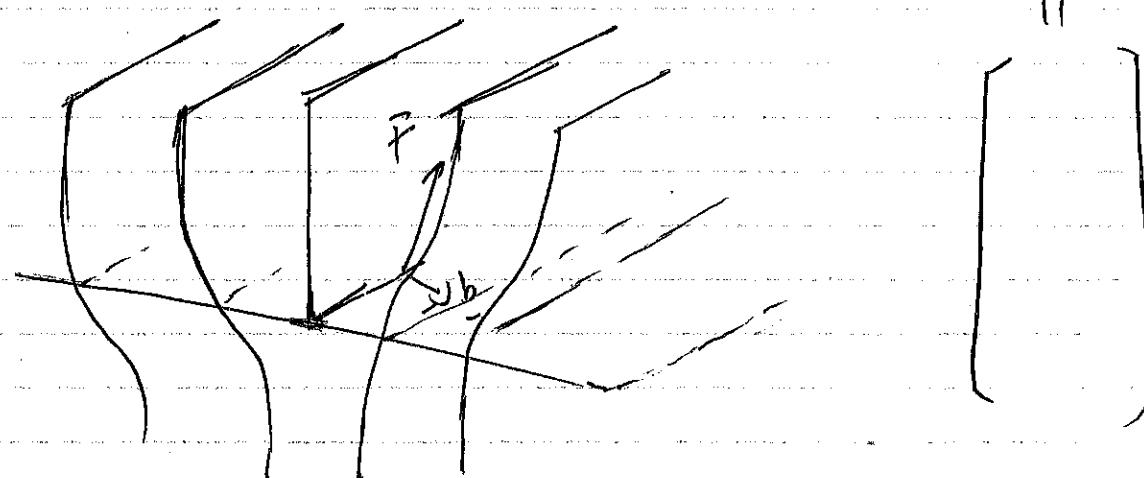
because 12 5/8/2024

$$\frac{F}{l} = f = (\underline{\sigma} \cdot \underline{b}) \times \hat{\underline{\zeta}}$$

Step 1

$$\begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \sigma_{zz} \end{bmatrix} \begin{bmatrix} b_x \\ b_y \\ b_z \end{bmatrix} \rightarrow \begin{bmatrix} ? \\ ? \\ ? \end{bmatrix} \times \begin{bmatrix} ? \end{bmatrix}$$

$3 \times 3 \quad 3 \times 1 \quad 3 \times 1$



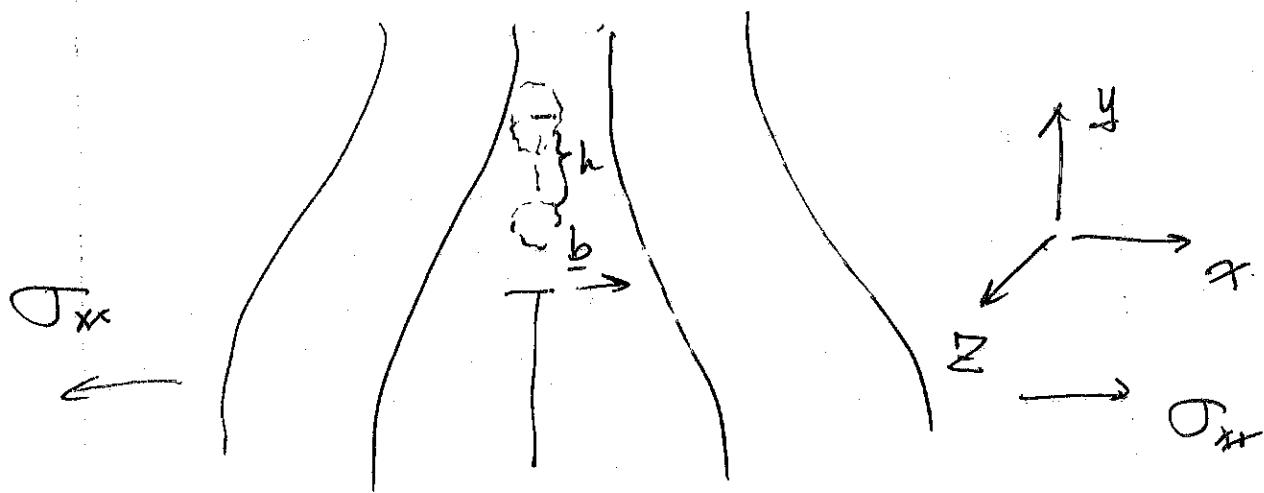
Step 2: Resolve F_g as glide & climb forces.

$$f_g \rightarrow \begin{bmatrix} f_g \\ f_c \end{bmatrix}$$

$$\begin{bmatrix} f_g \\ f_c \end{bmatrix}$$

$$f_c \times$$

Step 3. Judge whether it moves or not



$$\hat{j} = (0, 0, -1)$$

$$\underline{b} = (b_x, 0, 0)$$

$$f_c = \sigma_{xx} \cdot b \cdot \hat{j}$$

Consider dislocation moving up by h .

work done.

- absorbs interstitials,
- emit vacancies.

$$\frac{d\delta}{L} = \bar{G}(bh) / \zeta$$

Chem. pot.
vacancies

atomic
vol.

$Ubh \rightarrow$ volume of vacancies

$$\bar{G} = kT \ln\left(\frac{C}{C_0}\right)$$

actual concentration

equilibrium concentration

$$\begin{cases} C > C_0 \\ C < C_0 \end{cases}$$

Assume $C > C_0$

$$F_{\text{osmotic}} = -\frac{\bar{G}_b}{\Omega}$$

$$= -kT \ln\left(\frac{C}{C_0}\right) \frac{b}{\Omega}$$

$$f_C^{\text{total}} = \sigma_{xx} b - \frac{kT}{\Omega} \ln\left(\frac{C}{C_0}\right) b$$

External stress

point defect.

hyper saturation

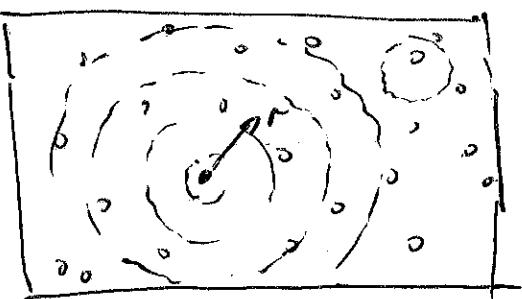
$$J_p = \frac{2\mu}{1-\nu} \exp\left(\frac{-2\kappa h}{(1-\nu)b}\right)$$

Lecture 3 5/13/2024.

Disordered Materials.

Chaper 2 & 3 of Zallen.

Density function



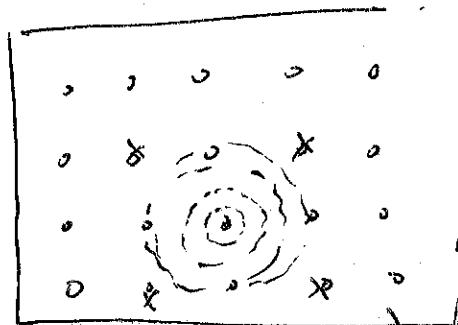
f. Norms

$$\rho^* = \frac{N}{f}$$

local density $\rho = \frac{\partial M}{\partial V}$

$$\rho(r) = g(r) \rho_0$$

↑ ↑
pair distribution density
fraction

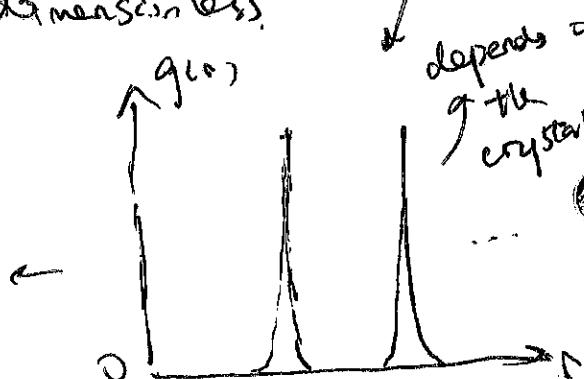


$$\therefore g(r) = \frac{\rho(r)}{\rho_0} \rightarrow \text{dimensionless}$$

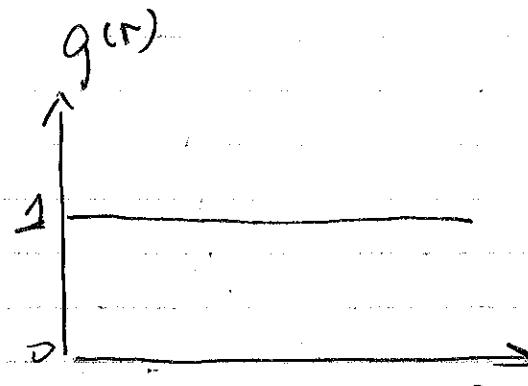
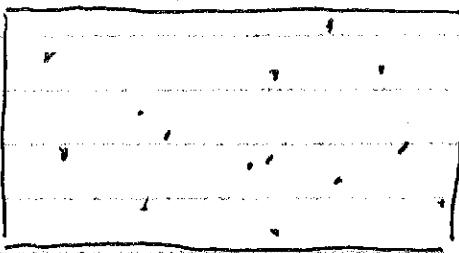
$g(r)$

depends on
g the
crystal

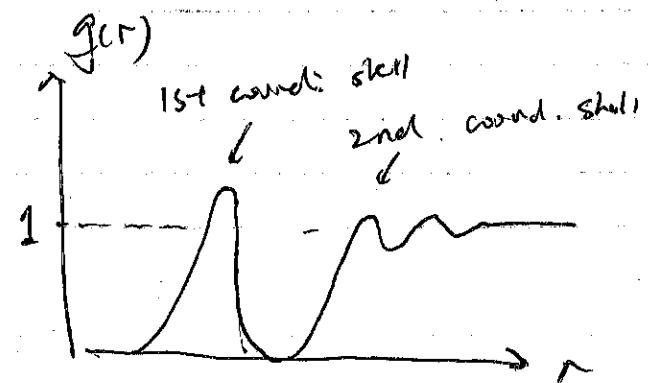
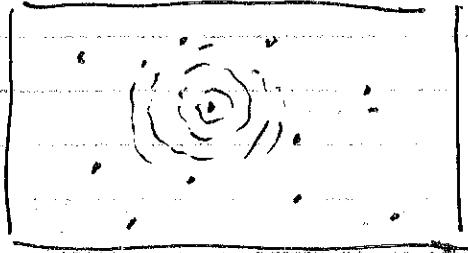
a series of debras
fraction



(ideal gas)



Amorphous solid



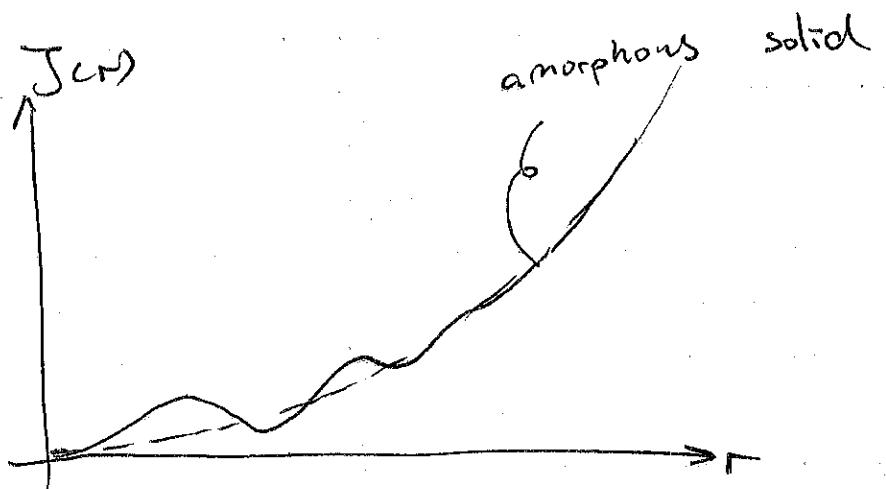
- $g(r)$ cannot be negative
- $g(r) > 1$, increased local density
- $g(r) < 1$, decreased local density

Radial distribution function

$$J(r) = 4\pi r^2 \rho(r) \leftarrow \frac{\text{# atoms}}{\text{cm}^3}$$

\uparrow
 # atoms/cm^3

$$4\pi r^2 \rho(r) dr = \# \text{ of atoms between } r \text{ & } r+dr$$



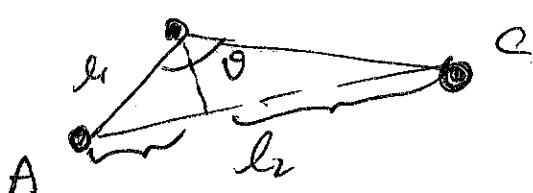
Reduced Radial distribution function

$$G(r) = \frac{J(r)}{r} - 4\pi r p_0 \quad \text{"difference function"}$$

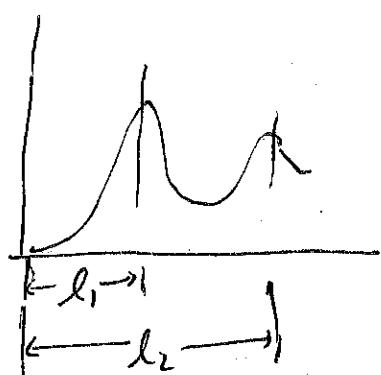
$$= 4\pi r p(r) - 4\pi r p_0$$

can be experimentally measured

B



one can find the
bond length & angles



$$\rightarrow \theta = 2 \sin^{-1} \left(\frac{l_2}{2l_1} \right)$$

Area under fibre peak'n (coordination number)
end peak

$$\int 4\pi r^2 \rho(r) dr = \text{# of atoms}$$

Start peak

does not need to be crystal

= Solid.

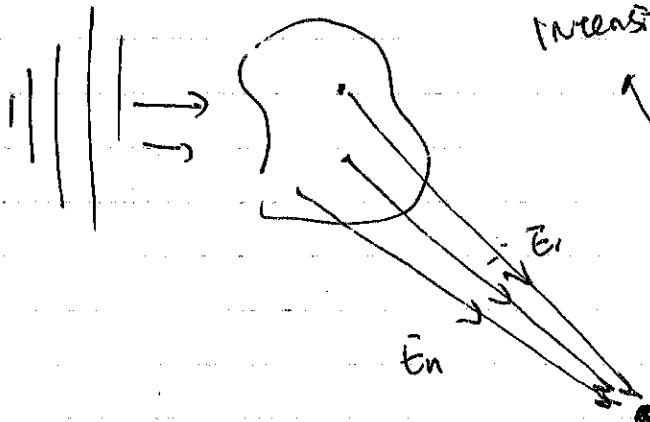
X-ray



as camera

Angular-dependence
of the scattering.

Intensity.



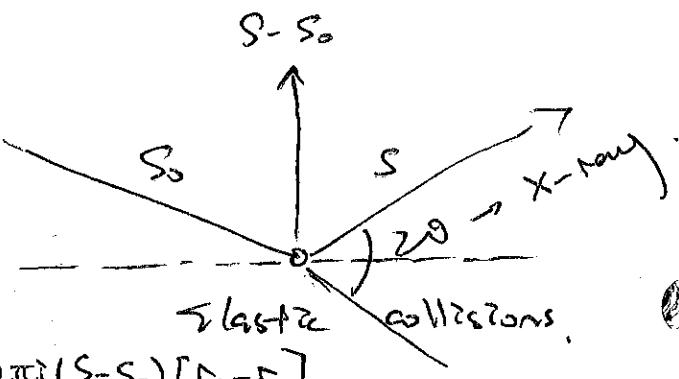
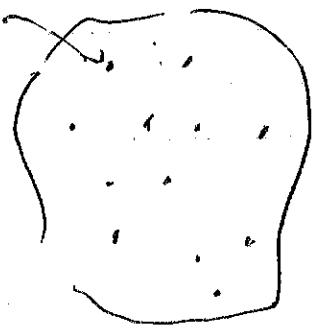
$$I = \sum \sum^* \quad \begin{matrix} \leftarrow \\ \text{complex} \end{matrix} \quad \begin{matrix} \leftarrow \\ \text{conjugate} \end{matrix}$$

$$II \quad \begin{matrix} \leftarrow \\ \varepsilon = e^{i\omega t} \end{matrix}$$

$$\begin{aligned} & \left[\sum E_n \cos \frac{2\pi x}{\lambda} \right] \\ & - i \sum E_n \frac{\sin \frac{2\pi x}{\lambda}}{\lambda} \end{aligned}$$

$$I = \left(\sum_m f_m e^{\frac{2\pi i}{\lambda} (S - S_0) \mathbf{r}_m} \right) \left(\sum_n f_n e^{-\frac{2\pi i}{\lambda} (S - S_0) \mathbf{r}_n} \right)$$

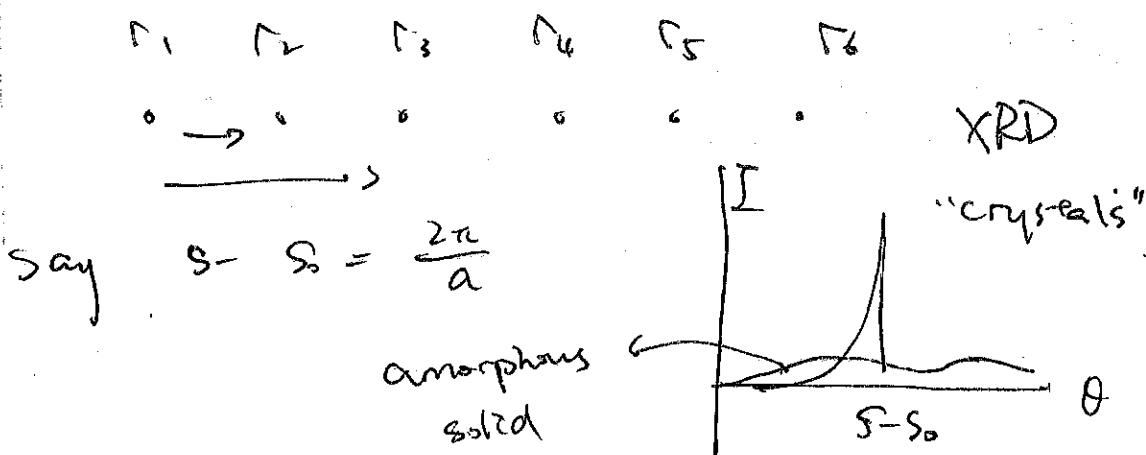
↓
atomic form factor
("atomic strength")



$$I = \sum_m \sum_n f_m f_n e^{\frac{2\pi i}{\lambda} (S - S_0) [\mathbf{r}_m - \mathbf{r}_n]}$$

difference distance
between atoms m & n

Crystal... $\mathbf{r}_m - \mathbf{r}_n$ ← one lattice vectors



Lecture 16. 5/22/2024.

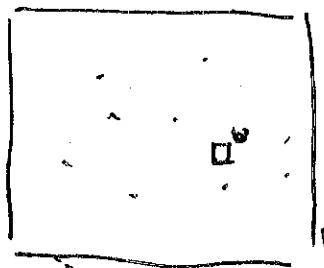
Recap. density function

$$\frac{P(r)}{P_0} = g(r)$$

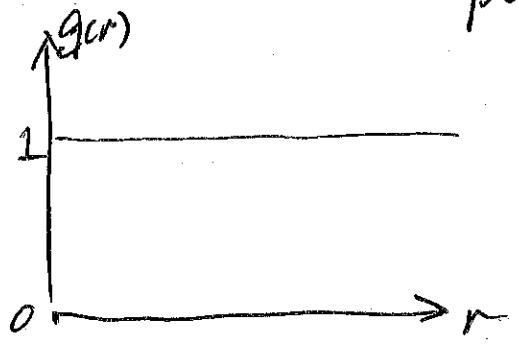
↑ pair distribution function



perfect / ideal gas



Amorphous Solid

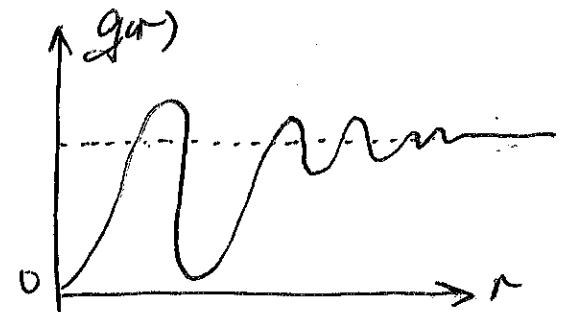


"Position matters !!!"

because it's solid & there's bond

→ "1 means average,

doesn't matter where's
the atom!"



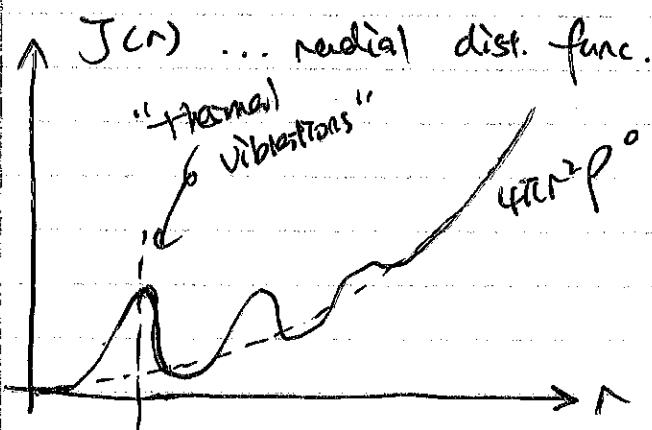
Radial dist. function.

$$J(r) = 4\pi r^2 p(r)$$

$\int_0^\infty 4\pi r^2 p(r) dr$ "Avg. # of atoms lying between r , $r+dr$ "

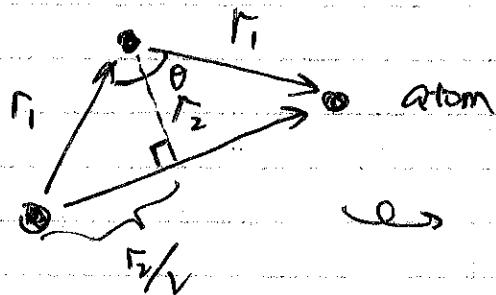
Reduced radial dist. function.

$$G(r) = \frac{J(r)}{r} - 4\pi r^2 p^0$$



"average function for the entire solid."

Area under the first peak is coordination number.



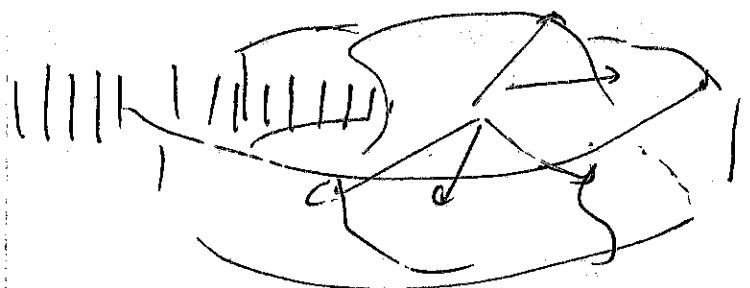
↳ representation of the sys.

Nearest neighbor should be the same.

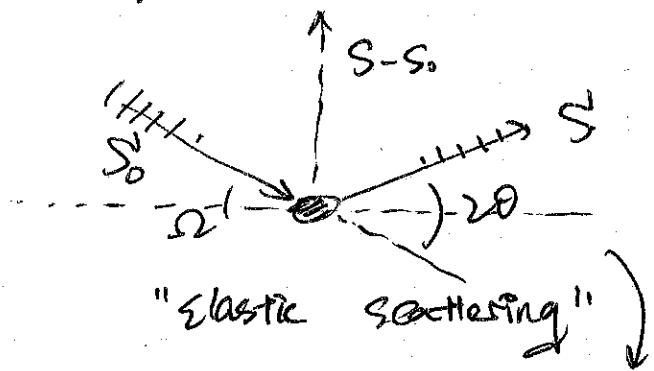
$$\sin\left(\frac{\theta}{2}\right) = \frac{r_2}{r_1} \rightarrow \theta = 2 \arcsin\left(\frac{r_2}{2r_1}\right)$$

The change of $J(r)$ of crystalline vs. amorphous characterizes the bond change.

Recap for X-ray diffraction.



Total Scattering Experiments.



X-ray angle-shift

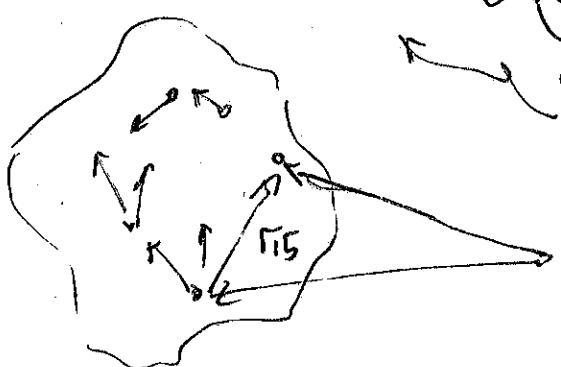
The intensity that the detector "sees":

$$I = \sum_m \sum_n f_m f_n e^{\frac{2\pi i}{\lambda} (S \cdot S_0) r_{mn}}$$

atomic form factors

"Signal X-ray sees"

detector



Interatomic Separation.

$$r_{mn} = r_m - r_n$$

Isotropic Solid

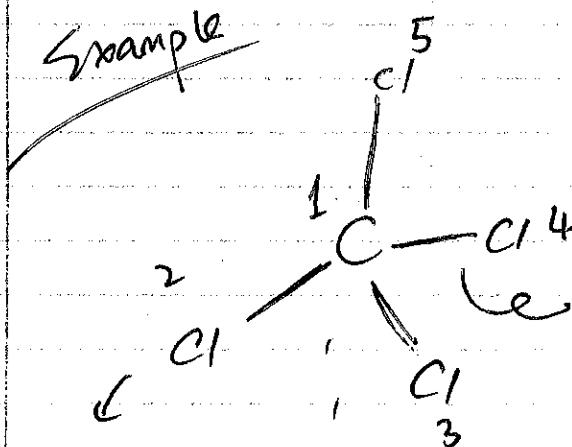
r_{mn} is isotropic (i.e., points in all 3D space)

$$I = \sum_m \sum_n f_m f_n \frac{\sin(kr_{mn})}{kr_{mn}}$$

Where: $k = \frac{4\pi \sin \theta}{\lambda}$

Wavelength of
X-ray.

Sample



be stay fixed.

bond lengths are the same.

$$\begin{matrix} m=1 \\ n=1 \end{matrix} \rightarrow r_{cc} :$$

$$\begin{matrix} m=1 \\ n=1, 2, 3, 4, 5 \end{matrix}$$

"It is not a solid, not densely packed".

$$I = f_c [f_c + 4 f_{cl} \frac{\sin(kr_{ccl})}{kr_{ccl}}] \leftarrow m=1$$

"1st loop"

$m=2 \rightarrow$ see "cl" atom
 $n=1, 2, 3, 4, 5$

$$\dots + 4f_{cl} \left[f_{cl} + f_c \frac{\sin(kr_{c-a})}{kr_{c-a}} \right]$$

$m=1, 3, 4, 5$

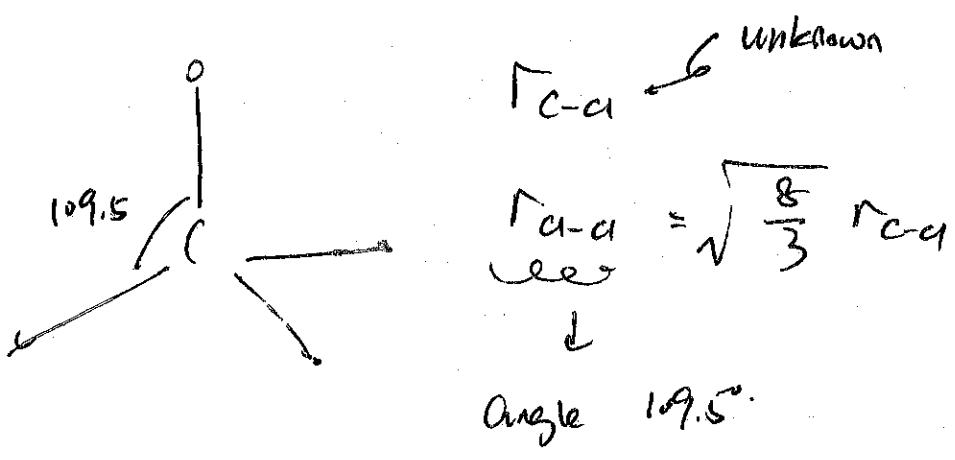
"all the same"

$$+ 3f_{cl} \frac{\sin(kr_{c-a})}{kr_{c-a}}$$

... done

k is the experimental parameter.

bond length is not known.



Remark: for solid, the sum will go on forever as the atoms are all "connected".

for solid, example monoatomic solid,

$$I = \sum_m f^2 + \sum_m \sum_{mn} f^2 \frac{\sin(kr_{mn})}{kr_{mn}}$$

$$= \sum_m f^2 + \sum_m f^2 \int p(r) \frac{\sin(kr)}{kr} dr$$

because it's
monoatomic isotropic it
doesn't matter.

$$= \sum_m f^2 + \sum_m f^2 \int_0^\infty p(r) 4\pi r^2 dr \frac{\sin(kr)}{kr}$$

$$I = \sum_m f^2 + \sum_m f^2 \int_0^\infty 4\pi r^2 [p(r) - p^0] \frac{\sin(kr)}{kr} dr$$

$$+ \sum_m f^2 \int_0^\infty 4\pi r^2 p^0 \frac{\sin(kr)}{kr} dr$$

↑
func of r
"coupled"

$$\int 4\pi r^2 p^0 \frac{\sin(kr)}{kr} dr$$

← even
in x

$$= 0$$

$$I = Nf^2 + Nf^2 \int_0^\infty 4\pi r^2 (\rho(r) - \rho^0) \frac{\sin(kr)}{kr} dr$$

of atoms.

$$R \left(\frac{I}{N} - f^2 \right) = \int_0^\infty 4\pi r (\rho(r) - \rho^0) \underbrace{\sin(kr)}_{G(r)} dr$$

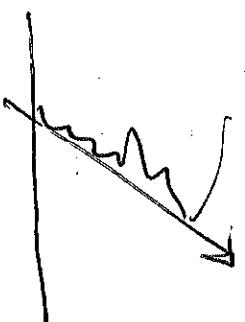
$G(r)$

i.e., reduced radial distribution func.

$$F(k) = \int_0^\infty G(r) \underbrace{\sin(kr)}_{\text{desired.}} dr$$

measured.

\rightarrow LHS is experimentally measured
 RHS is related to the $G(r)$.



"Scatting curve"
 for solid. the initial slope
 should be $4\pi \rho_0 r$

brief stay on amorphous Solid.

Formation of amorphous solid: Splash
the liquid into ultra-low temperature
to avoid crystallization.

Properties: local plasticity at the contact
interface.

lecture 17

5/29/2024

2D metallic glasses

"Independent of
quenching rate"

with metallic bonding
vs non-directional

OOD

denser



most efficient
"motif" for packing

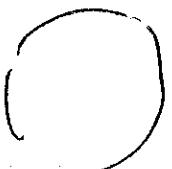
global optimum

maximize nearest neighbors

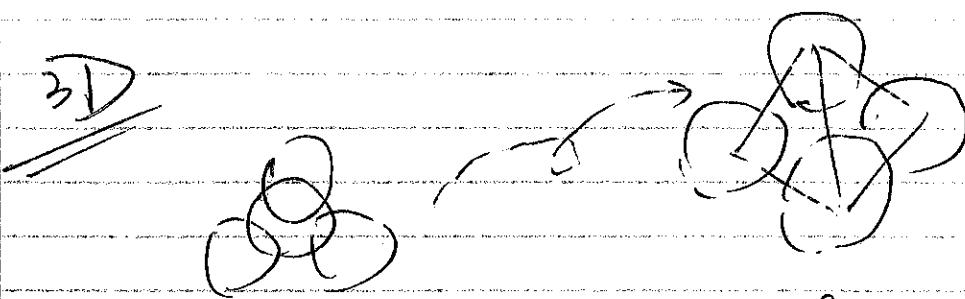
differently sized atoms



In 2D \Rightarrow amorphous

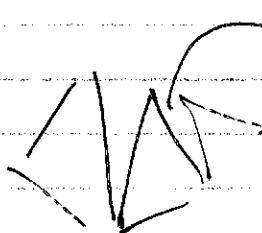
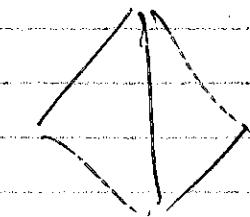


~~3D~~



more efficient way

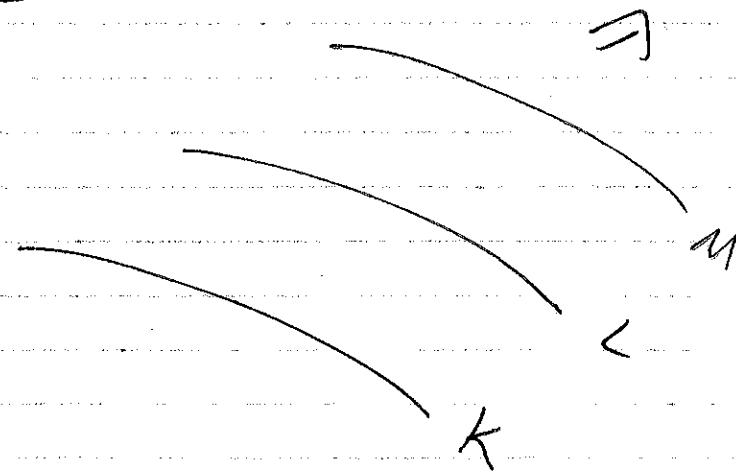
to pack 4 atoms.

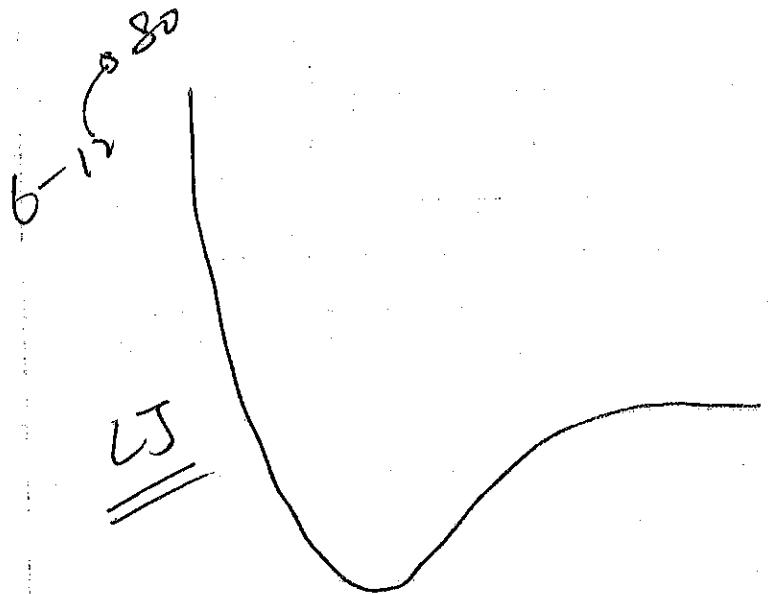


getting gaps.

from stacking tetrahedron.

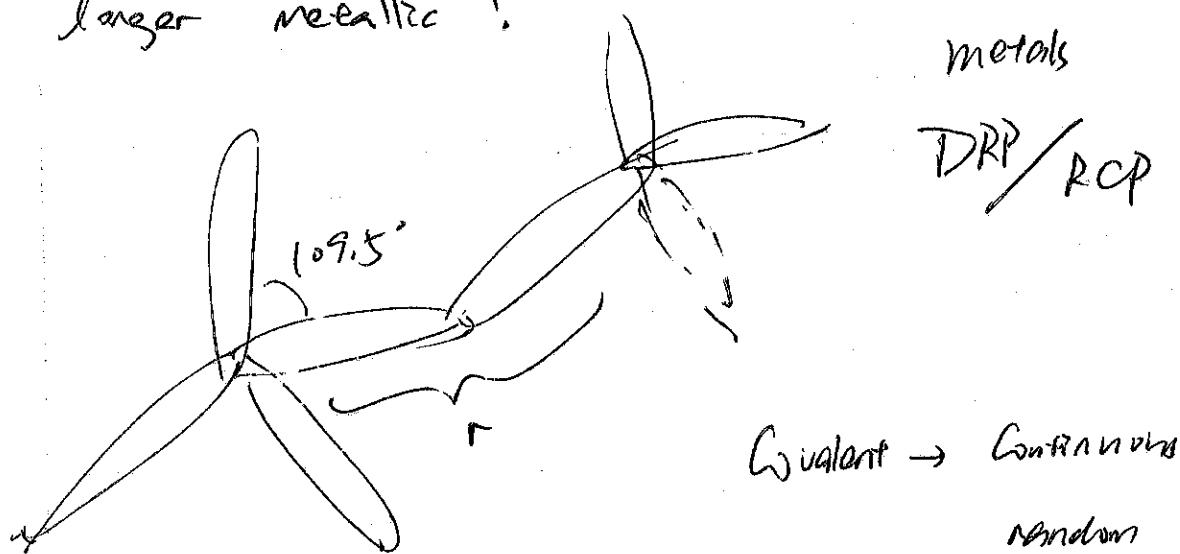
F_2 , atomic form factor





reproduce the RDF from experiments.

What happens when bonding is no longer metallic?



Covalent \rightarrow Continuous

random networks.

$$k_B^2 < k_x^2$$

CRN

Lecture 19

6/13/2024

1. Dense Random Pack - metallic glass

Wigner-Seitz Cell

SRO \leftarrow short-range order

MRO medium-range order

2. Covalent : Continuous random networks.

$\alpha\text{-Si} : \text{1. } Z=4$

2. Const. bond length.

3. No dangling bonds

4. Spreading angle: $A\theta = \omega \approx 11^\circ$

$\alpha\text{-SiO}_2 : \text{1. } Z_{\text{Si}}=4, Z_{\text{O}}=2$

$\bar{\beta} = 150^\circ$

2. Const. bond length.

$\text{Si}-\text{O}-\text{Si}$

3. No dangling bonds

$\Delta\beta = 15^\circ$

4. $\text{O}-\text{Si}-\text{O} = 109.5^\circ$

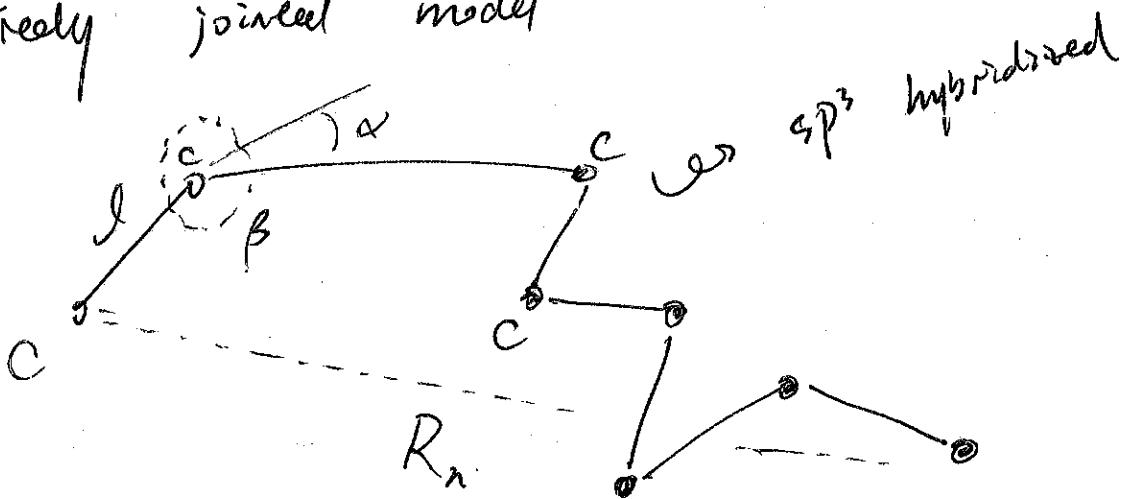
torsion not specified

the more bonds, the tighter the network

3. random coil model (polymers)

covalently bonded.

Freely joined model



n -monomers

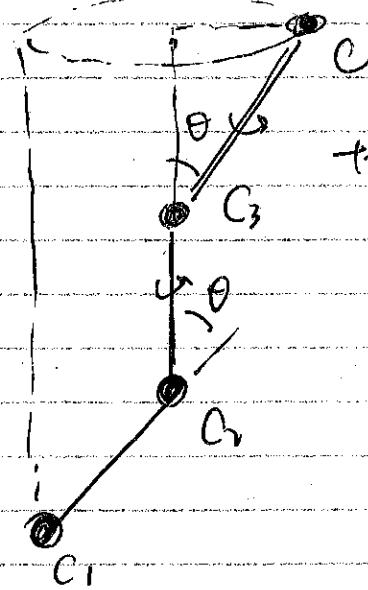
end-to-end separation

$$\langle R_n^2 \rangle^{1/2} = l n^{1/2}$$

number of monomers

Freely rotating model

$$\phi = 0$$

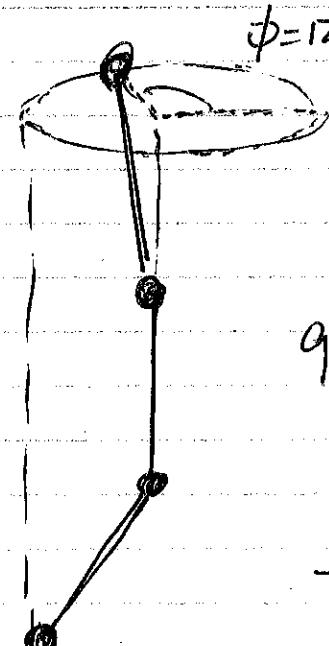


torsion angles.

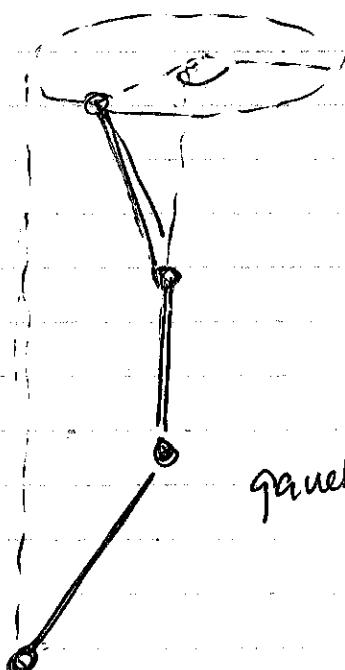
θ : sp^3 hybridization

trans distribution (state)

$$\phi = -120^\circ$$



gauche +



gauche -

trans. state

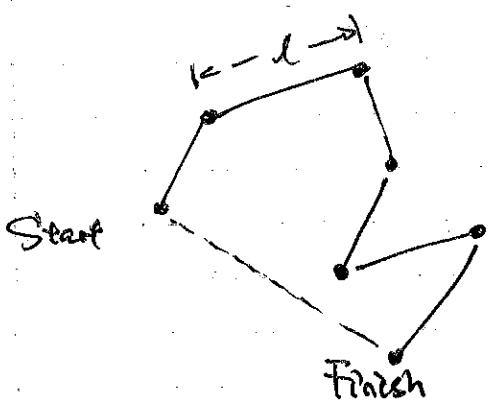


0

lecture 20 . 6/5/2024.

polymers.

recall freely jointed model.



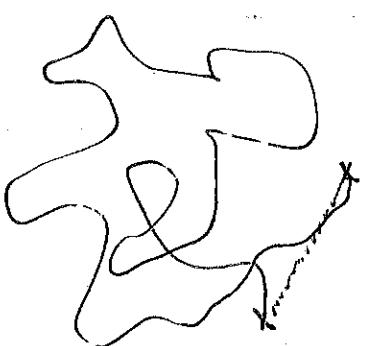
$$n = \text{# of monomers}$$
$$R_n \propto l n^{1/2} = 60 \text{ nm}$$

"end-to-end length."

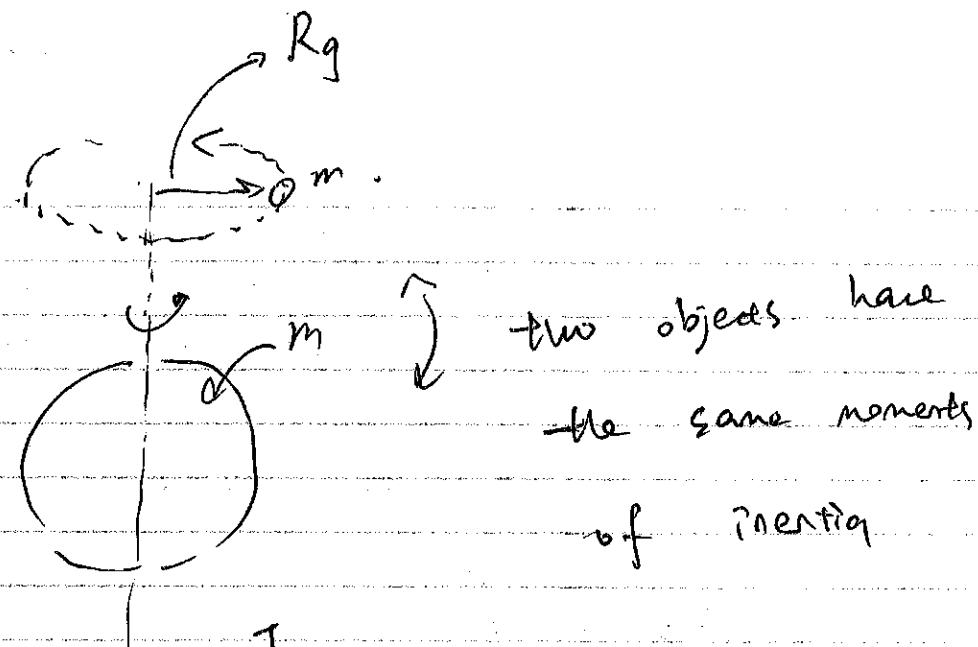
$$\text{Stretched length} = l n = 6 \mu\text{m}$$

typical val.: $l = 0.6 \text{ nm}$.

$$n = 10^4$$



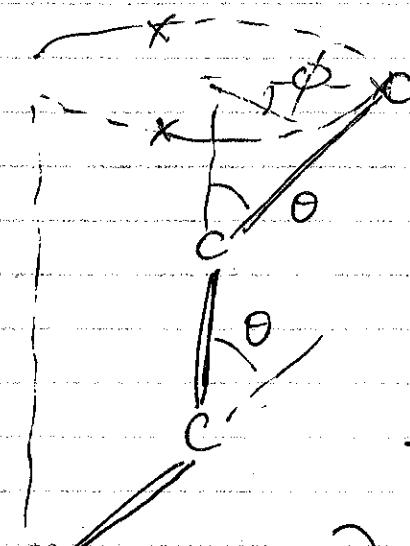
radius of gyration



- You can show $R_g = \frac{l n^{1/2}}{\sqrt{b}} = \frac{R_n}{\sqrt{b}}$

can be experimentally obtained

Freely rotating model.



constraint of angle
make the polymer end-to-end distance longer.
→ true for Polymers.

$$R_n = C \alpha n^{1/2} l$$

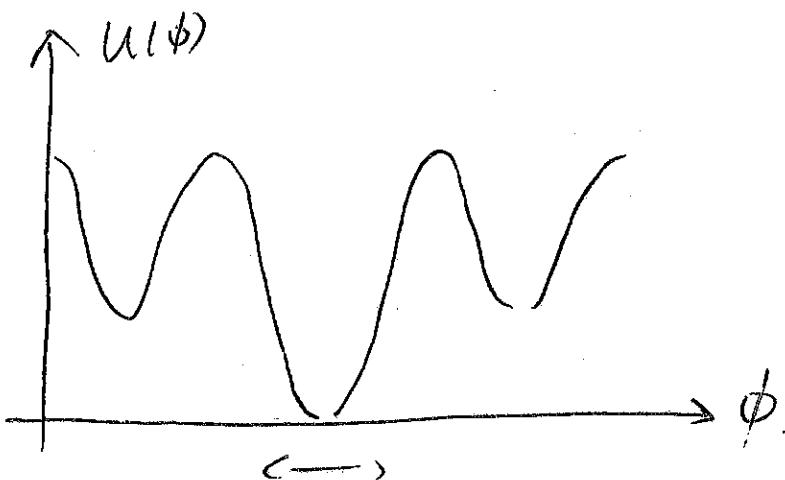
def'n: $R_n^2 = C \alpha n l^2$

$$C_{\infty} = \left(\frac{1 + \cos \theta}{1 - \cos \theta} \right) \left(\frac{1 + \langle \cos \phi \rangle}{1 - \langle \cos \phi \rangle} \right)$$

fixed : 10^9 S^{-1}

ϕ is not strictly fixed

$$\langle \cos \phi \rangle = \frac{\int_0^{2\pi} \cos \phi \exp\left(-\frac{U(\phi)}{kT}\right) d\phi}{\int_0^{2\pi} \exp\left(-\frac{U(\phi)}{kT}\right) d\phi}$$



change temperature, entire energy profile shows up

$$C_{\infty} = 3 \approx 10 \quad (\text{for many polymers})$$

* to measure large angles, use small θ .

1. dense random pack (poly glass)

2. CRN (semiconductors)

3. Random coil. (Polymers)

Auricupride: Cu₂Au